

PREA Facility Audit Report: Final

Name of Facility: Tazewell Regional Jail

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 05/29/2023

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input checked="" type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input checked="" type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input checked="" type="checkbox"/>
Auditor Full Name as Signed: Rebecca Denise Young	Date of Signature: 05/29/ 2023

AUDITOR INFORMATION	
Auditor name:	Young, Rebecca
Email:	rebeccayoung1819@gmail.com
Start Date of On-Site Audit:	04/20/2023
End Date of On-Site Audit:	04/21/2023

FACILITY INFORMATION	
Facility name:	Tazewell Regional Jail
Facility physical address:	115 Court Street, Tazewell, Virginia - 24651
Facility mailing address:	P.O. Box 279, Meadowview, Virginia - 24361

Primary Contact	
Name:	Jeannie Patrick
Email Address:	jpatrick@swvrja.com
Telephone Number:	2767393527

Warden/Jail Administrator/Sheriff/Director	
Name:	Stephen Clear
Email Address:	sclear@swvrja.com
Telephone Number:	12767393520

Facility PREA Compliance Manager

Facility Health Service Administrator On-site	
Name:	Brandy Bishop
Email Address:	bbishop@wexfordhealth.com
Telephone Number:	304 952-8399

Facility Characteristics	
Designed facility capacity:	89
Current population of facility:	151
Average daily population for the past 12 months:	149
Has the facility been over capacity at any point in the past 12 months?	Yes
Which population(s) does the facility hold?	Both females and males
Age range of population:	18 and older
Facility security levels/inmate custody levels:	Minimum, medium, maximum

Does the facility hold youthful inmates?	Yes
Number of staff currently employed at the facility who may have contact with inmates:	45
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	8
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	5

AGENCY INFORMATION

Name of agency:	Southwest Virginia Regional Jail Authority
Governing authority or parent agency (if applicable):	
Physical Address:	15205 Joe Derting Drive, Abingdon, Virginia - 24210
Mailing Address:	
Telephone number:	

Agency Chief Executive Officer Information:

Name:	
Email Address:	
Telephone Number:	

Agency-Wide PREA Coordinator Information

Name:	Jeannie Patrick	Email Address:	jpatrick@swvrja.com
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Facility AUDIT FINDINGS

Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:

1

- 115.64 - Staff first responder duties

Number of standards met:

44

Number of standards not met:

0

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2023-04-20
2. End date of the onsite portion of the audit:	2023-04-21

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Highlands Community Services Director by phone Highlands Community Services victim advocate by inmate phone system Virginia Department of Social Service search via Internet

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	89
15. Average daily population for the past 12 months:	149
16. Number of inmate/resident/detainee housing units:	9
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	138
38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	1
39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	1
40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	0
41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	0
42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0
43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	0

<p>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>There were 107 Male Inmates and 31 Female Inmates being housed at the facility during the onsite portion of the audit. Due to the rural location and the size of the facility, there was limited number of the specialized targeted inmate population to interview. This auditor made an attempt to identify this specialized population by reviewing random samples of classification and screening documentation, interviews with staff and inquiries with the inmate population during the audit tour.</p>
<p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p>	
<p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>45</p>

50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	<p>5</p>
51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	<p>8</p>
52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:	<p>Interviews were conducted with a total of 10 staff members, 2 contractors and 1 volunteer during the onsite portion of the audit.</p>

INTERVIEWS

Inmate/Resident/Detainee Interviews

Random Inmate/Resident/Detainee Interviews

53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:	<p>20</p>
54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)	<ul style="list-style-type: none"> <input type="checkbox"/> Age <input type="checkbox"/> Race <input type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input type="checkbox"/> Length of time in the facility <input type="checkbox"/> Housing assignment <input checked="" type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None

<p>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p>	<p>Interviews were conducted with a total of 13 random male inmates and 7 random female inmates during the onsite portion of the audit.</p> <p>Due to the rural location of the facility, only 2 inmates were identified from the specialized targeted population and both inmates were interviewed during the onsite portion of the audit.</p>
<p>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>No text provided.</p>
<p>Targeted Inmate/Resident/Detainee Interviews</p>	
<p>58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>2</p>
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
<p>60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>1</p>

<p>61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>1</p>
<p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>
<p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>
<p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>

<p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>
<p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>
<p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour. 3 Inmates who previously reported sexual abuse and harassment during the 12 month audit cycle had either been released from custody or transferred to the Department of Corrections. This was verified through review of housing assignment and transfer documentation.</p>

<p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour.</p>
<p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>

<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>The rural location of the facility led to a very limited number of the targeted inmate population interviews. This auditor made attempts to identify this specialized population by reviewing random samples of classification and screening documentation over the last 12 months as well interviewing staff and inquiring with the inmate population during the onsite tour and inmates housed in segregation.</p>
<p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>No text provided.</p>
<p>Staff, Volunteer, and Contractor Interviews</p>	
<p>Random Staff Interviews</p>	
<p>71. Enter the total number of RANDOM STAFF who were interviewed:</p>	<p>7</p>
<p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p>	<p> <input type="checkbox"/> Length of tenure in the facility <input checked="" type="checkbox"/> Shift assignment <input type="checkbox"/> Work assignment <input type="checkbox"/> Rank (or equivalent) <input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken) <input type="checkbox"/> None </p>
<p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p>	<p> <input checked="" type="radio"/> Yes <input type="radio"/> No </p>

<p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>No text provided.</p>
<p>Specialized Staff, Volunteers, and Contractor Interviews</p>	
<p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p>	
<p>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p>	<p>6</p>
<p>76. Were you able to interview the Agency Head?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>78. Were you able to interview the PREA Coordinator?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>79. Were you able to interview the PREA Compliance Manager?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)</p>

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of VOLUNTEERS who were interviewed:	1
b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other
82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of CONTRACTORS who were interviewed:	3
b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Security/detention <input type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other

<p>83. Provide any additional comments regarding selecting or interviewing specialized staff.</p>	<p>The SWRJA Tazwell Facility employees 8 contract Medical staff through Wexford Services. Interviews were conducted with the contract Health Authority, 1 nurse and Mental Health Clinician during the onsite portion of the audit as well 1 volunteer who is the facility assigned Chaplain.</p>
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SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

<p>84. Did you have access to all areas of the facility?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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Was the site review an active, inquiring process that included the following:

<p>85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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<p>86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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<p>87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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<p>88. Informal conversations with staff during the site review (encouraged, not required)?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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<p>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p>	<p>This auditor tested all critical functions throughout the facility to include the inmate phone system and was guided through the intake process by the SWRJ Authority's computerized "Jail Tracker" system. Random conversations were conducted with the staff and inmate population during the onsite tour in an attempt to identify inmates for targeted interviews.</p>
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

<p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
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<p>91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p>	<p>No text provided.</p>
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term "inmate" in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	1	0	1	0
Staff-on-inmate sexual abuse	1	0	1	0
Total	1	0	1	0

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	1	0	1	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	1	0	1	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	1	0	0
Staff-on-inmate sexual abuse	0	0	1	0
Total	0	1	1	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	1	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	1	0	0

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:

2

<p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p>Inmate-on-inmate sexual abuse investigation files</p>	
<p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>2</p>
<p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>Staff-on-inmate sexual abuse investigation files</p>	
<p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>0</p>
<p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
<p>Sexual Harassment Investigation Files Selected for Review</p>	
<p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>1</p>
<p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
<p>Inmate-on-inmate sexual harassment investigation files</p>	
<p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>1</p>
<p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

Staff-on-inmate sexual harassment investigation files	
111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	0
112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.	No text provided.
SUPPORT STAFF INFORMATION	
DOJ-certified PREA Auditors Support Staff	
115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.	<input type="radio"/> Yes <input checked="" type="radio"/> No

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

Yes

No

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

The audited facility or its parent agency

My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

A third-party auditing entity (e.g., accreditation body, consulting firm)

Other

Standards
Auditor Overall Determination Definitions
<ul style="list-style-type: none"> Exceeds Standard (Substantially exceeds requirement of standard) Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) Does Not Meet Standard (requires corrective actions)
Auditor Discussion Instructions
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Southwest Regional Jail Authority employees 1 Lieutenant as the PREA Coordinator that oversees 4 separate facilities and 4 PREA Compliance Managers, 1 placed at each facility.</p> <p>Both the PREA Coordinator and the PREA Compliance Manager was interviewed during the on-site portion of this audit and both stated that they had sufficient time and authority to coordinate the facilities efforts to comply with the National PREA standards.</p> <p>The facility’s Standard Operating Procedure 2.01 “Prison Rape and Elimination Act” mandates zero tolerance toward all forms of sexual abuse and sexual harassment and outlines Southwest Regional Jail’s approach to preventing, detecting, and responding to such conduct. The Operating Procedure provides descriptive definitions of terms used and clearly identifies the actions that will be taken against staff, contractors and volunteers in the event of a substantiated case of sexual abuse and sexual harassment. Termination and prosecution will be the presumptive actions.</p>

	<p>Policy, materials and other evidence reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 Titled “Prison Rape Elimination Act” • Agency Organizational Chart • PREA Audit Questionnaire • Staff Interviews
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115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Although the SWRJA maintains a contract with the United States Marshall Services in order house Federal inmates within the Agency's Abingdon Facility, the Agency does not contract with another entity for the confinement of local assigned inmates that were convicted within it's jurisdiction. Therefore this standard is deemed Non-Applicable.</p> <p>Policy, Documentation and other evidence reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Contract with US Federal Marshalls Service • Interview with Superintendent and PREA Coordinator

115.13	Supervision and monitoring
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>A comprehensive review was conducted of the Agency’s vacancy rates, staffing plans and daily duty rosters, The SWRJA Tazwell Facility employees a total of 45 full time employees. Security staff are assigned to 2 breaks that work 12 hour shifts and 1 break that works 8 hour shifts, 5 days per week. Shift staffing ratios are as follows:</p> <ul style="list-style-type: none"> • A Break Days: 10 • A Break Night: 7 • B Break Days: 10 • B Break Nights: 8

- 5 & 2 Shift: 5

The only staffing deviations found were officer call ins and emergency transportation runs. These deviations were listed in the SWRJA Tazwell Facility Annual Staffing Plan Review that was conducted in January 2023 and covered all stipulations required for compliance with this standard.

It was also taken into consideration that the SWRJA Tazwell facility deploys an extensive video monitoring system that aides in the supplementation of staffing levels. The video monitoring is conducted by housing unit control rooms that are manned 24 hours per day. This was verified by reviewing a schematics blue print that identifies the placement of cameras throughout the facility, reviewing video monitors in control rooms during the onsite portion of the audit and reviewing sampling of daily duty rosters during the last 12 months to ensure adequate staff coverage.

Review of Standard Operating Procedure 12.12 Staff Training, Sample reviews of housing log book entries and observation during the onsite portion of the audit verified that supervisors conducted unscheduled unannounced rounds in an attempt to identify and deter staff sexual abuse and harassment.

Based on final review of the Annual Staffing Plan Memorandum, daily duty rosters and interviews with the PREA Coordinator, the facility PREA Compliance Manager and the Superintendent, it was determined that the facility was making a best faith effort to comply with standard 115.13 in order protect inmates from sexual abuse and sexual harassment.

Documentation and other materials reviewed to determine compliance:

- **Annual Staffing Memorandum**
- **Daily Duty Rosters**
- **Housing Log Books**
- **Onsite Observations**
- **Facility Blue Print with Camera Schematics**

115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	The SWRJA Tazwell Facility does not house juvenile offenders unless the juvenile offender has been adjudicated. There were no youthful offenders housed at this facility during the time of this audit or in the last 12 months leading up to this audit. This was determined by review of Offender Summary Reports and observations made during the onsite portion of the audit tour however, the facility is equipped to house

	<p>youthful offenders and review of policy 27.01 Youthful Offenders describes actions that need to be taken in the event the facility receives a youthful offender.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 27.01 Youthful offenders • Standard Operating Procedure 12.08 Inmate Movement • Facility Memorandum Stating No Youthful Offenders Housed During Audit Period • Review of Offender Summary Reports for the last 12 months
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115.15	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Review of Standard Operating Procedure 12.10 Searches of Inmates and Facility and Standard Operating Procedure 10.02 Bathing, showers and hair care reveals that SWRJA Tazwell Facility has policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks.</p> <p>Observation during the onsite portion of the audit revealed that staff was announcing members of the opposite sex before entering the housing areas or any area where inmates may be disrobed. Furthermore, during interviews, inmates did confirm that staff of the opposite sex announced their presence when entering housing units and that they felt comfortable, verifying that this section of the standard had been institutionalized. Shower and bathroom areas were observed and revealed that inmates were afforded adequate privacy. Review of the video monitoring systems in the control rooms revealed that the facility had developed a strategically placed censored privacy zone for all shower areas to reduce the risk of incidental camera viewing by control room staff.</p> <p>Standard Operating Procedure 12.10 Searches of Inmates and facility provides clarification that the facility does not conduct cross-gender strip searches or cross-gender visual body cavity searches or examine transgender or intersex inmates for the sole purpose of determining their sex. Cross Gender Pat down searches are only conducted in exigent circumstances such as court appearances and transportation runs and are properly documented on an incident report. There were no instances of cross gender pat down searches occurring at this Facility during the 12 month audit cycle.</p>

	<p>Review of training records verify that all staff are properly trained in pat down searches upon employment with the Agency and receive refresher training annually.</p> <p>Documentation and materials and other evidence reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 12.10 Searches of inmates and Facility • Standard Operating Procedure 10.02 Bathing, Shower and Hair Care Staff • Training Power Point • Staff and Offender Interviews • Observations made during audit tour of Facility
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115.16	Inmates with disabilities and inmates who are limited English proficient
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>The SWRJA utilizes the services of Lion Bridge Interpreter Services in order to provide interpreter services for non-proficient English speaking inmates. This auditor did conduct testing of these services over the inmate phone system and found them in working order.</p> <p>The SWRJA Tazwell Facility provides inmate orientation in both English and Spanish and during onsite tour it was noticed that these materials were made widely available and that inmate interpreters were not being utilized. The SWRJA Tazwell Facility is located in a very rural area and through interviews with staff and offenders as well as review of offender housing documentation over the last 12 months, it was verified that the Facility had not housed a limited english speaking offender.</p> <p>Further review of documentation and inmate interviews verified that the SWRJ Tazwell Facility takes appropriate steps to ensure that inmates with disabilities have a clear understanding and are allowed to participate in all aspects of the facility and the programs provided to include their efforts in preventing and deterring sexual abuse and harassment. During the onsite portion of the audit, this auditor conducted interviews with 1 inmate identified to have a physical disability and 1 inmate identified to have a cognitive disability. Both inmates were aware and knowledgeable of their rights under the National PREA Standards and verified that they were afforded the same programming and work opportunities as the rest of the population.</p> <p>Documentation, policy and other materials reviewed to determine compliance:</p>

	<ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Lion Bridge Interpreter Services Information • PREA materials in both English and Spanish PREA • Audit Notice in English and Spanish Staff interviews • PREA Audit Questionnaire • Inmate Phone Testing
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115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Review of Standard Operating Procedure 3.03 Recruitment and Selection, personnel files, applications and criminal back ground check logs verified that SWRJA Tazwell Facility does not hire or promote anyone who may have contact with inmates, and does not enlist the services of any contractor who may have contact with inmates, who has engaged in sexual abuse in any criminal justice facility, has been convicted of engaging or attempting to engage in criminal sexual activity in the community or has been civilly or administratively adjudicated to have engaged in criminal sexual activity.</p> <p>This auditor reviewed documentation to verify that a criminal background history was conducted on all present employees and volunteer/contractors and that all new employees, volunteer/contractors undergo a background check and sign a PREA disclosure form. SWRJ Authority utilizes the Virginia Criminal Information Network to check for past criminal history. Further review of Human Resource documentation affirms that all employees, volunteers and contractors receive refresher back ground investigations anytime that a promotion is received. In addition, criminal background checks are conducted on all SWRJA employees every 5 years in accordance with this standard.</p> <p>Policy, documentation and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 3.03 Recruitment and Selection • Personnel Files, applications for employment • Employee Criminal Background Check Logs • Volunteer/Contractor Criminal Background check logs PREA disclosure forms

115.18	Upgrades to facilities and technologies
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	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>The SWRJA Tazwell Facility has not undergone any renovation or facility upgrades since the last PREA Audit.</p> <p>Documentation and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 26.04 • Interview with Superintendent

115.21	Evidence protocol and forensic medical examinations
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p>
	<p>Review of Standard Operating Procedures 18.06 Inmate Medical Care and MG-03 Sexual Assault verified that Administrative investigations concerning sexual abuse and sexual harassment are conducted by trained investigators at SWRJA Tazwell Facility using the proper uniformed evidence protocols and preponderance of the evidence as in accordance with this standard as well as training set forth by the National Institute of Corrections. All investigations that are found to be criminal in nature are immediately forwarded to the Virginia State Police for further investigation and possible criminal prosecution. This auditor reviewed a Memorandum of Understanding between the South West Regional Jail Authority and the Virginia State Police entered in January of 2016. This memorandum identifies each entities responsibility during the course of a sexual abuse investigation and does state that the Virginia State Police will act in accordance with the investigative requirements of the Prison Rape Elimination Act. There were no cases that required criminal investigation during the Audit period however, review of Administrative investigations verified that the facility was conducting adequate investigations by properly trained investigators.</p> <p>The SWRJA Tazwell Facility has identified Carrilon Community Hospital, Tazwell Va. in order to make best faith efforts to provide a certified SANE Nurse. Documentation was presented that confirmed Carrilon does offer this service.</p> <p>The SWRJA entered into a new contract in June 2022 with Highlands Community Services in order to provide Victim Advocacy Services for inmates who are victims of sexual violence. The contract delineates responsibilities of both Agencies in order to provide the following services:</p> <ul style="list-style-type: none"> • Provide inmates with a 24 hour confidential hotline at no cost through the

	<p style="padding-left: 40px;">inmate phone system</p> <ul style="list-style-type: none"> • Provide emotional support, crisis intervention and supportive counseling to inmates who make call to the hotline number • Provide a victim advocate to accompany and support inmates during forensic and medical examinations • Provide emotional support through investigatory interviews and provide information and referrals <p>HCS hotline information was posted in housing units and common areas of the facility and the system was tested by this auditor during the onsite portion of the audit. This auditor made contact with a hotline advocate that verified HCS's responsibilities under the contract.</p> <p>There were no recorded incidents of sexual assault occurring during the audit period that required immediate medical or forensic examination at the Facility however, this auditor did review 1 report of alleged sexual abuse that occurred in a neighboring state. Although the time frame had expired for evidence and medical forensic collection, the allegation was immediately investigated and reveals that the inmate was offered victim advocacy services and periodic status checks were performed in accordance with this standard.</p> <p>Documentation, policy and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 • Standard Operating Procedure MG 03 Sexual Assault Protocols • Standard Operating Procedure 15.08 Inmate Medical Care • Memorandum of Understanding with Virginia State Police • Memorandum of Understanding with Highland Community Services Investigative Files • Status Checks • Disposition notifications • Testing of Inmate Phone System
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115.22	Policies to ensure referrals of allegations for investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	The SWRJA Tazwell Facility conducts administrative investigations for allegations of sexual abuse or sexual harassment. This auditor conducted a comprehensive review of the facility's investigative files and interviewed the facility's investigators. Review of Standard Operating Procedure 2.01 PREA verified that Administrative investigations

concerning sexual abuse and sexual harassment are conducted by trained investigators using the proper uniformed evidence protocols and preponderance of the evidence as in accordance with this standard as well as training set forth by the National Institute of Corrections.

All investigations that are found to be criminal in nature are immediately forwarded to the Virginia State Police for further investigation and possible criminal prosecution. This auditor reviewed a Memorandum of Understanding between the South West Regional Jail Authority and the Virginia State Police entered in January of 2016. This memorandum identifies each entities responsibility during the course of a sexual abuse investigation and does state that the Virginia State Police will act in accordance with the investigative requirements of the Prison Rape Elimination Act. There were no investigations that required criminal investigation during the Audit period however, review of Administrative investigations verified that the facility was conducting adequate investigations by properly trained investigators. Standard Operating Procedure 2.01 Prison Rape Elimination Act also delineates specific investigative duties and is accessible through the Southwest Regional Jail Authority website.

Documentation, policies and other measures reviewed to determine compliance:

- **Standard Operating Procedure 2.01 Prison Rape Elimination Act**
- **Memorandum of Understanding between the Southwest Regional Jail Authority and Virginia State Police**
- **Investigator Interviews**
- **Review of Investigative Files**
- **Investigator Training Records**

115.31	Employee training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Review of Standard Operating Procedure 4.02 General Training, the agencies training curriculum and staff training files, verifies that SWRJA Tazwell Facility is in compliance with standard 115.31. The facility trains all staff that has contact with inmates in an adequate manner pertaining to:</p> <ol style="list-style-type: none"> 1. Its zero-tolerance policy for sexual abuse and sexual harassment 2. How to fulfill their responsibilities under agency sexual abuse and sexual harassment 3. Prevention, detection, reporting, and response policies and procedures 4. Inmates' right to be free from sexual abuse and sexual harassment

5. The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment
6. The dynamics of sexual abuse and sexual harassment in confinement
7. The common reactions of sexual abuse and sexual harassment victims
8. How to detect and respond to signs of threatened and actual sexual abuse
9. How to avoid inappropriate relationships with inmates
10. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates
11. How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.

All Facility staff, volunteers and contractors receive PREA training during an 8-hour orientation phase and a 4-hour refresher training annually and are required to sign an acknowledgment form confirming the understanding of this training.

During the random interview of staff, all interviewed were knowledgeable pertaining to the PREA standards and how to deter, prevent and report sexual abuse and harassment.

Documentation, policies and other materials reviewed to determine compliance:

- **Training Power Point**
- **Staff Interviews**
- **Staff Training Files**
- **Staff Training Acknowledgment Forms**
- **Pocket Guides for Sexual Assault**

115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Volunteers and Contractors at SWRJA Tazwell Facility receive the same caliber of training as staff and signs an acknowledgement form verifying that they understand the training and their duty to report any allegation of sexual abuse or harassment. During the onsite portion of the audit, this auditor did interview a volunteer Chaplain as well as contract medical staff and found them knowledgeable pertaining to the National PREA Standards as well as their duty to report any incident of sexual abuse or sexual harassment.</p> <p>Further review of volunteer/contractor training material and signed PREA acknowledgement forms allowed this auditor to make a determination of compliancy.</p>

	<p>Documents, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 4.02 General Training • Volunteer/Contractor Training Curriculum and power point • Signed Volunteer/Contractor Training Acknowledgement forms • Volunteer and Contractor Interviews
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115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based upon this auditor’s review of Standard Operating Procedure 2.01 Prison Rape Elimination Act , the facility’s Inmate Orientation Manual, signed PREA Acknowledgement forms , observation and random interviews during the onsite audit tour, it has been determined that the Tazwell Facility complies with standard 115.33.</p> <p>Inmate orientation begins immediately upon intake where the inmates watch a PREA video during the booking process. The video contains Spanish subtitles. The inmates are presented orientation packets in either English or Spanish that details the Facility’s zero tolerance pertaining to sexual abuse and harassment and how to report suspicions of sexual abuse and harassment. Posted educational posters and flyers were observed in every housing unit during the onsite tour of the facility and samples were uploaded with this report.</p> <p>The intake process was observed during the tour portion of the onsite audit and a review of the inmate orientation manual demonstrated that inmates receive comprehensive education pertaining to the PREA Standards and their rights to be free from retaliation for reporting suspicious behavior.</p> <p>Further review of a random sample of inmate PREA education acknowledgement forms and interviews with the inmate population verified that the facility is conducting adequate training.</p> <p>Standard Operating Procedure 2.01 PREA identifies and addresses steps to take for inmates with physical and cognitive disabilities and impairments. The SWRJA ensures that practices are in place to assist these inmates in acknowledging, understanding and utilizing PREA protocols and this was verified through interviews with inmates during the onsite portion of the audit.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p>

	<ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA Inmate orientation manual • Staff interviews • Inmate interviews • Inmate PREA training video Educational brochures and flyers
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115.34	Specialized training: Investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>All Investigators at SWRJA Tazwell Facility are certified in specialized investigative training through the National Institute of Corrections titled “Investigating Sexual Abuse in Correctional Settings” This training also includes special investigative techniques and evidence collection while conducting sexual abuse and sexual harassment investigations and how to properly use Miranda and garrity warnings.</p> <p>This auditor conducted a comprehensive review of all investigative files for the audit period and found that these specialized techniques were being applied appropriately. Further interviews with facility Investigators confirmed that they were knowledgeable pertaining to these investigative techniques.</p> <p>All Investigations that have a potential for criminal prosecution are referred to the Virginia State Police. Each entity's responsibilities are listed in a Memorandum of Understanding.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 4.02 General Training • Memorandum of Understanding between the Southwest Regional Jail Authority and the Virginia State Police • Investigator Training Curriculum • Investigator Training Records and Certificates • Investigative Reports • Investigator Interviews

115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	<p>Medical and Mental Health employees at SWRJA Tazwell Facility are contract employees that receive the same orientation training as the regular fulltime staff. additional training includes specialized training through the National Institute of Corrections for Medical and Mental Health Practitioners in Correctional Settings on how to detect and assess signs of sexual abuse and sexual harassment. This training is documented on Specialized Training Rosters and maintained by the Facility PREA Compliance Manager and this auditor reviewed Specialized Training Certificates for both, Medical and Mental Health Staff.</p> <p>Both Medical and Mental Health Staff were interviewed during the onsite portion of the audit and all were knowledgeable pertaining to sexual assault evidence collection and treatment.</p> <p>The facility utilizes Carrilion Community Hospital for forensic examinations in order to provide a SANE Nurse for all sexual assault incidents. Review of Hospital information confirmed that SANE nurses were provided.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 4.02 General Training • Medical and Mental Health Staff Training Curriculum • Medical and Mental Health Staff Training Rosters • Medical and Mental Health Staff Onsite Interviews • Medical and Mental Health Specialized Training Certificates • Carrilion Health website
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115.41	Screening for risk of victimization and abusiveness
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Based on this auditor’s review of Standard Operating Procedure 9.01 Classification, The SWRJA Screening and Classification Tool, onsite interviews with classification staff and inmates, it was determined that the facility was properly screening inmates within 72 hours of reception using an objective screening tool that considers at a minimum the following:</p> <ol style="list-style-type: none"> 1. Whether the inmate has a mental physical or developmental disability 2. The age of the inmate 3. The physical build of the inmate 4. Whether the inmate has previously been incarcerated 5. Whether the inmate’s criminal history is exclusively non-violent 6. Whether the inmate has prior convictions for sex offenses against an adult or

child

7. Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex or gender non-conforming
8. Whether the inmate has previously experienced sexual victimization
9. The inmates own perception of vulnerability
10. Whether the inmate is detained solely for civil immigration purposes

The classification screening tool is a points based system ranging from 1 (lowest) to 14 (highest). The calculations are determined by the individual's answers, the individual's past criminal record, the individual's physical build and both staff and individual's perception of sexual victimization risk. The assessment is conducted during the booking process by trained classification staff and disseminated only to Medical, Mental Health, PREA Compliance Manager and the Agency PREA Coordinator.

All inmates are screened for the risk of sexual victimization or sexual aggression in the booking area of the jail upon intake and the information is stored electronically through a computer program titled "Jail Tracker." Inmate housing assignments are derived from points scored during the screening in order to ensure that potential victims are not being housed with potential aggressors. During the onsite portion of the audit, this auditor was given an overview of each step of the booking and classification process and was provided with sample documentation of housing assignments for inmates who scores triggered an alert in the system.

Review of disciplinary records and interviews of staff revealed that Inmates are not disciplined for refusing to answer or for not disclosing information to the questions asked.

Inmate reassessments are being captured during the mandatory 14-day medical/mental health assessment and any changes to responses are immediately forwarded to the facility PREA Compliance Manager and the Jail Superintendent for possible housing and/or program reassignment. Reassessments for offender's whose internal status changes warranted a new review were also being conducted in accordance with this standard.

Documentation, policies and other materials reviewed to determine compliance:

- **Standard Operating Procedure 9.01 Classifications**
- **Southwest Regional Jail Authority Screening and Classification Tool**
- **SWRJA Electronic Jail Tracker System**
- **14 Day Follow Up Mental Health Assessment Forms**
- **Training Agenda and Training Rosters**
- **Medical and Mental Health Staff Emails**
- **PREA Questionnaire**
- **Interviews with Staff**

115.42	Use of screening information
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Based on review of the SWRJA points based screening and classification tool as well as documented facility housing and alert reports, it was determined that the facility was making appropriate individualized housing assignments based on the pertinent information obtained, in order to keep separate inmates who are a high risk of being victimized from inmates that are a high risk to be sexually abusive. There were no inmates being housed at the Tazwell Facility who had reported past victimization during the onsite portion of the audit however, this auditor was able to review documentation of prior inmates that did report past victimization during the 12 month audit period and confirmed that the facility screening and housing of these inmates were accurately made in accordance with the points based screening and classification tool.</p> <p>Standard Operating Procedure 9.01 Classifications clarifies that;</p> <ol style="list-style-type: none"> 1. Transgender or Intersex offender’s views pertaining to their own safety shall be given serious consideration and that they will be allowed to shower separately from the rest of the population. 2. All housing assignments for Transgender or Intersex offenders are made by Facility PREA Review Committee on a case by case basis with final authorization coming from the Superintendent. The committee considers health, safety and security problems while making these determinations. 3. Transgender and Intersex offenders are reassessed every 6 months by the PREA Review Committee to review threats of safety for the inmate. 4. Southwest Regional Jail Authority does not place lesbian, gay, bisexual; transgender or intersex inmates in segregated housing based solely their identification or status. <p>There were no identified LGBT, Transgender or Intersex Inmates housed at the SWRJA Tazwell Facility during the 12 month audit cycle. This was verified by reviewing Sexual Victimization/Predation Reports, random samples of intake screening and classifications and interviews with staff and inmates during the onsite portion of the audit.</p> <p>Documentation, policies, and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 9.01 Classification • Intake Alerts • Reassessment forms • PREA Questionnaire

	<ul style="list-style-type: none"> • Staff and Inmate Interviews • Screening and Classification tool Housing Assignment Reports
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115.43	Protective Custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based on review of 14.02 Protective Custody Operations, staff and inmate interviews, Screening and Classification Alert Reports and Housing Assignment Review forms, it was determined that SWRJA Tazwell Facility had not place inmates that are at high risk for victimization into involuntary segregation or protective custody in the last 12 months.</p> <p>Inmates can request to be placed in protective custody by completing a Request for Protective Custody form. This form will be reviewed by the Facility PREA Review Committee and forwarded to the Superintendent for final authorization.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 14.01 Protective Custody Operations • Staff and Inmate Interviews • Housing Assignment Review Forms • Protective Custody Request Forms • SWRJA Classification Alert Reports • Interviews with Staff and Inmates

115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Inmates are given “how to report” information in the inmate handbook which they receive upon intake. The handbook states that the inmate can report allegations to staff or through a toll free and private sexual abuse hotline or by verbally, written or third party. The information is also provided on the Inmate Kiosk and tablet system as well as posters and flyers in the housing units. Inmates may confidentially report incidents of sexual abuse and sexual harassment over the inmate phone system, in writing or by directly reporting to a SWRJA staff member. The visitation area, front</p>

lobby and agency website contains information that advises family members and visitors how to report 3rd party claims of sexual abuse and harassment.

The SWRJA contracts with Highland Community Services in order to provide inmates with an external confidential hotline to report allegations of sexual abuse and sexual harassment and to provide crisis advocacy services. The Sexual abuse hotline number and instructions are listed in the inmate handbook as well as being posted in each housing unit. This auditor conducted testing of the inmate phone system and found this service to be operational. In further conversation with the Director of Community Highlands Services and the SWRJA PREA Coordinator, this auditor did inquire if the agency was federally funded under the Violence Against Women Act (VAWA, 34 U.S.C. 12291(b)(2)), the Family Violence Prevention and Services Act (FVPSA, 42 U.S.C. 10406(c)(5)), or the Victims of Crime Act Victim Assistance Program (VOCA Assistance, 28 C.F.R. § 94.115), which would require the agency to keep identifying information about victims confidential in accordance with Federal Law. The Agency is partially funded under these acts and therefore could not qualify as an external reporting entity as defined in this standard without first obtaining written informed consent. Conversations concerning the practice of obtaining written informed consent in an expedient manner so that HCS can immediately report incidents of sexual abuse back to the SWRJA Agency remain ongoing.

During the 1st phase of the audit, this auditor did work with the SWRJA Agency PREA Coordinator and provide information to assist in securing another service contract with a private organization in order to provide external reporting services however, due to the rural location of the Tazwell Regional Jail, there were no external reporting agencies available. The Agency PREA Coordinator did present this auditor with a report of agencies contacted.

Based on the supporting documentation and the fact that the Highlands Community Services contract remains active, this auditor finds that the SWRJA Tazwell Facility has made best faith efforts in attempts to secure an external private reporting agency and remains compliant with this standard.

Documentation, policies and other material reviewed to determine compliance:

- **Standard Operating Procedure 2.01 PREA**
- **Inmate Orientation Handbook**
- **Memorandum of Understanding with Highlands Community Services**
- **Staff Inmate interviews**
- **Investigative Reports**
- **Facility Tour Observations**
- **Inmate Phone System**
- **Inmate Kiosk System**
- **Interviews with Highlands Community Services**

115.52	Exhaustion of administrative remedies
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Southwest Regional Jail Authority does not have administrative procedures in place to accept grievances for sexual abuse or sexual harassment. Therefore, the facility is considered exempt under this standard. Interviews with the Jail Administrator, the Agency PREA Coordinator and review of the SWJR Authorities Grievance Policy and the Inmate Handbook confirmed this finding.</p> <p>Documentation, policy and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • SWRJA Grievance Policies • Interviews with PREA Coordinator • Inmate Handbook

115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The SWRJA Tazwell Facility provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including a toll-free hotline number that directly links the inmate to a certified victim advocate through Highlands Community Services. Review of a MOU entered in June of 2022 verified these services. The address and hotline numbers are clearly posted in housing units, on inmate kiosk and in the inmate orientation handbook.</p> <p>During the onsite portion of the audit, this auditor did test the inmate phone system and spoke to a victim advocate with HCS who verified that this was a 24 hr. Hotline Service that provided the following:</p> <ol style="list-style-type: none"> 1. Provide inmates with a 24 hour confidential hotline at no cost through the inmate phone system 2. Provide emotional support, crisis intervention and supportive counseling to inmates who make call to the hotline number 3. Provide a victim advocate to accompany and support inmates during forensic and medical examinations 4. Provide emotional support through investigatory interviews and provide information and referrals

	<p>Southwest Regional Jail, Tazwell Facility does enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible and informs inmates, prior to giving them access, of the extent to which such communications will be monitored and to the extent to which reports of sexual abuse will be forwarded to authorities in accordance with the State of Va. mandatory reporting laws.</p> <p>Documentation, policy and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Memorandum of Understanding between the Southwest Regional Jail Authority and Highland Community Services • Inmate Orientation Handbook • Invoice for Victim Advocate Services provided • Confidentiality Statement • Interviews with Highland Community Services Board
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115.54	Third-party reporting
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The SWRJA Tazwell Facility has methods in place to receive 3rd party reports of sexual abuse and sexual harassment and displays publicly, how to report sexual abuse and sexual harassment on behalf of inmates on the Agency website. 3rd party reports can be called into the hotline number, in writing or made directly to a SWRJA staff member. There were no 3rd party reports made to the Tazwell Facility during the audit cycle however, this auditor did review past 3rd party reports made across the Agency and determined that the SWRJA was compliant with this standard.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Agency Website • Onsite audit tour observations • Inmate Orientation Handbook • MOU with Highlands Community Services • Review of Agency 3rd party report and Investigations

115.61	Staff and agency reporting duties
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	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>The SWRJA Tazwell Facility staff has received adequate training in their duty as a First Responder to report as well as the proper steps to take to preserve any evidence and maintain confidentiality. Standard Operating Procedure 28.01 PREA clearly identifies reporting duties and each staff member is required by the facility to carry a “First Responder Pocket Guide” that provides step by step instructions of their duties in the event of a report of sexual abuse. This auditor commends the Agencies PREA Coordinator for this extra precautionary measure.</p> <p>The State of Virginia has a mandatory duty to report law for any suspected incidents of abuse, neglect, or the exploitation of children, incapacitated persons or the elderly. Mental Health and Medical Practitioners inform inmates of these mandatory reporting laws.</p> <p>There were 2 allegations of sexual abuse during the audit period and all relevant documentation pertaining to the incident was reviewed and did include documented checks for retaliation being conducted by the PREA Compliance Manager.</p> <p>Based upon this auditor’s review of Standard Operating Procedure 2.01 PREA, investigative reports, mental Health 14-day assessment forms and interviews with appropriate staff, it was determined that SWRJA Tazwell Facility is in compliance with this standard.</p> <p>Documents, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA Staff Training Curriculum • First Responder Pocket Guides • Code of Virginia • Virginia Department of Social Services • Interviews with Mental Health and Medical Staff • Review of Investigative Files and follow up reports
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115.62	<p>Agency protection duties</p> <hr/> <p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Based on this auditor’s comprehensive review of Standard Operating Procedure 2.01 PREA , Facility investigative reports, Incident Reports from First Responders, and interviews with staff and inmates, it was determined that the SWRJA Tazwell Facility responds with immediate action when learning that an inmate is in substantial risk of imminent sexual abuse. When an inmate makes an allegation of abuse or</p>
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	<p>harassment, swift action is taken to separate the victim from the alleged perpetrator and documentation is maintained on the Agency's computerized Jail Tracker Alert system to ensure that those inmates are not housed together in the future. Usually the alleged perpetrator is transferred to 1 of the 3 other SWRJA Facilities.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA Review of Investigative Files • Incident Reports • Interviews with Staff and inmates • Housing bed logs • Agency Jail Tracker computer system
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115.63	Reporting to other confinement facilities
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>This standard is covered in the Agency Standard Operating Procedure 2.01 PREA and provides clear instruction pertaining to how to notify the transferring facility. There was one instance during the audit period that required notification to another State Agency by the SWRJA Tazwell Facility. This auditor reviewed the investigated file as well as the notification made to the other State Agency and found that; although the notification was made, it was not made by the facility Unit Head of the Tazwell Regional Jail, rather by the PREA Compliance Manager. During the corrective action, this auditor thoroughly discussed the expectations moving forward with the Facility Administrator and PREA Compliance Manager that the notification needs to be made by the Facility Unit Head.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Staff interviews • Notification documentation

115.64	Staff first responder duties
	<p>Auditor Overall Determination: Exceeds Standard</p>

	<p>Auditor Discussion</p> <p>The SWRJA Tazwell Facility provided 2 instances within the last 12 months of alleged sexual misconduct/abuse. One allegation reported involved a transferred inmate from another State. This auditor reviewed the Investigative file and found that the facility had made notification and collaborated with the other State Agency to ensure that evidentiary standards were met. The 2nd Investigative file was reviewed and documentation was presented that verified the alleged perpetrator was separated from the victim however, the 2 incidents that were reported were not within the mandated timeframe in order to collect and preserve physical or forensic evidence.</p> <p>Further review of Standard Operating Procedure MG-03 Sexual Assault and the First Responders Checklist, demonstrated that facility staff members, Upon learning of an allegation that an inmate was sexually abused, separates the alleged victim and abuser; preserves and protects any crime scene until appropriate steps can be taken to collect any evidence; and if the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. The SWRJA has also created a Sexual Assault Response Checklist that is required to be completed by Investigative staff. The checklist aides staff in ensuring that each task is completed.</p> <p>Staff members are also required to carry “First Responder Pocket Checklist” that clearly lists the steps to follow for incidents of sexual abuse and aides them in their First Responder duties. This auditor commends the SWRJA Tazwell Facility on this extra precautionary step that provides staff with an immediate guide to follow to ensure no evidentiary steps are missed.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure MG-03 In the Event of Sexual Abuse • Review of Investigative Files • Review of First Responders Checklist • Review of First Responders Pocket Guide • Interview with Staff and inmates
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115.65	Coordinated response
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	Standard Operating Procedure MG-03 Sexual Assault and the Institutional Coordinated

	<p>Response Plan covers the coordinated actions taken in response to an incident of sexual abuse The plan clearly delineates first responder, medical and mental health practitioners as well as investigator responsibilities. All staff receive yearly refresher training on the plan and was aware of their first responder duties when interviewed during the onsite portion of the audit.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure MG-03 Sexual Assault • Initial Response Team Plan • Staff Interviews
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115.66	Preservation of ability to protect inmates from contact with abusers
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Based on this auditor’s review of pertinent policies and laws it was determined that the State of Virginia is a Right to Work State and does not permit Collective Bargaining Agreements in County or State Government. Therefore, there are no laws that permit staff who commit sexual abuse or sexual harassment to not be terminated and prosecuted.</p> <p>Documentation, policy and other measures reviewed to determine compliance:</p> <ul style="list-style-type: none"> • State of Va. Laws • SWRJA Policy

115.67	Agency protection against retaliation
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>Based on this auditor’s review of Standard Operating Procedure 2.01 PREA, Standard Operating Procedure 3.04 Standards of Conduct, facility status check logs and interviews with staff, it was determined that the SWRJA Tazwell Facility does have a policy that protects both inmates and staff from retaliation.</p>

	<p>Retaliation checks are performed by the Facility PREA Compliance Manager and the Agency PREA Coordinator. The PREA Coordinator receives updates from the facility PREA Compliance Manager and the facility Counselors titled “Status Check Forms” These Status Checks are conducted for up to 90 days or longer if warranted.</p> <p>The Chief of Security is responsible for ensuring the protection of inmates who fear retaliation, making any moves necessary to protect the safety of the inmate. The alleged perpetrator, if a staff member, is either suspended or moved to another area of the facility pending the outcome of the investigation. Inmate perpetrators are usually transferred to another 1 of the 3 SWRJA facilities.</p> <p>First Responder Incident Reports verified compliance of immediate separation between the alleged victim and alleged perpetrator.</p> <p>Highland Community Services also provides victim advocacy services to inmates who report retaliation.</p> <p>During the onsite audit, this auditor reviewed random samples of Status Check Forms as well as retaliation logs and emails between facility staff and the PREA Coordinator that verified compliance with this standard.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Standard Operating Procedure 3.04 Standards of Conduct • First Responder Incident Reports • Status Check Forms • Emails • Retaliation Logs
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115.68	Post-allegation protective custody
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>Based on this auditor’s review of Standard Operating Procedure 14.02 Protective Custody Operations, Investigative reports, the PREA Questionnaire and interviews with the PREA Coordinator, PREA Compliance Manager and the Facility Investigators, it was determined that the SWRJA Tazwell Facility does not house inmates who have alleged to have been sexually abused in segregated housing for their own protection. The facility reports zero incidents of this occurrence in the last 12 months and it is in policy that this type of segregation is prohibited. The alleged perpetrators are usually transferred to another SWRJA Facility pending the outcome of the investigation.</p>

	<p>Documents, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 14.02 Protective Custody Operations • Standard Operating Procedure 2.01 PREA • PREA Questionnaire • Interviews with Staff • Review of Housing assignment Reports
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115.71	Criminal and administrative agency investigations
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>The Southwest Regional Jail Authority collaborates with the Virginia State Police pertaining to all investigations of Sexual abuse and sexual harassment. There is a signed Memorandum of Understanding that delineates responsibilities and duties. The SWRJA Tazwell Facility conducts Administrative Investigations promptly, thoroughly and objectively. All Facility Investigators receive specialized training through the National Institute of Corrections and maintain training certificates for verification purposes.</p> <p>There were 3 instances reported during the audit cycle and this auditor conducted a comprehensive review of the 3 administrative investigative files during the onsite portion of the audit; 2 Sexual Abuse Investigations and 1 sexual harassment Investigation.</p> <p>Based on this auditor’s review of Investigator training agendas and rosters and Investigative files, it was verified that facility investigators conduct investigations in a manner that protects and preserves the collection of direct and circumstantial evidence, reviews past complaints and interviews all alleged victims, perpetrators and witnesses involved in the case.</p> <p>All Substantiated investigations are referred to the Virginia State Police for further criminal investigation and possible prosecution. There were no substantiated cases of sexual abuse during the audit cycle that required a criminal investigation.</p> <p>The PREA Coordinator maintains all investigative files within the retention guidelines set forth in the National PREA Standards.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Memorandum of Understanding between the Southwest Regional Jail

	<p style="text-align: center;">Authority and the Virginia State Police</p> <ul style="list-style-type: none"> • Investigative Files • Interviews with Facility Investigators • Interview with PREA Coordinator
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115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based on this auditor’s review of Investigative files, Investigator training files and interviews with facility investigators, it was verified that Southwest Regional Jail Authority Investigators are properly trained and impose no standard higher than preponderance of the evidence when deciding a determination of allegations. All cases of sexual abuse and sexual harassment were reviewed. and all investigations reviewed were completed in a thorough and objective manner in accordance with these standards.</p> <p>Documents, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Review of Investigative Files • Interviews conducted with Investigators • Investigator Training Files

115.73	Reporting to inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based upon this auditor’s review of Standard Operating Procedure 2.01 PREA, inmate notification forms, and Interviews with Investigators and the facility PREA Compliance Manager, it was determined that the SWRJA Tazwell Facility informs inmates of the outcome of all investigative findings and whether the allegation was substantiated, unsubstantiated or unfounded.</p> <p>Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, the facility subsequently informs the inmate whenever the staff member is no longer posted within the offender’s unit; the staff member is no longer</p>

	<p>employed at the facility or the Facility learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or the facility learns that the staff member has been convicted on a charge related to sexual abuse outside the facility.</p> <p>Following an inmate's allegation that they have been sexually abused by another inmate, the facility informs the alleged victim whenever the suspect has been indicted on a charge related to sexual abuse. All notifications are documented and maintained by the PREA Compliance Manager. This auditor reviewed the inmate notifications pertaining to the 3 investigations taking place during the 12 month audit cycle and found that all proper protocols had been followed and the alleged victims were notified as to the disposition of the investigation. An agencies obligation to report under this standard is terminated once the inmate is released from the facility.</p> <p>Documentation polices and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Inmate Notification Forms • Interview with PREA Coordinator • Investigative Files
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115.76	Disciplinary sanctions for staff
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>This auditor's review of Standard Operating Procedure 2.01 PREA and 3.01 Harassment verified that the SWRJA Tazwell Facility staff is subject to disciplinary sanctions up to and including termination for violating these policies. All violations considered criminal in nature are turned over the the Virginia State Police for possible prosecution. If the victim is elderly, under the age of 18 or considered incapacitated, the facility will report to the Virginia Department of Social Services per the Code of Va.</p> <p>There were no substantiated Investigations for sexual abuse or harassment at the facility during the audit cycle.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Standard Operating Procedure 3.01 Harassment • Memorandum of Understanding with Virginia State Police

	<ul style="list-style-type: none"> • Review of Investigative Files
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115.77	Corrective action for contractors and volunteers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The SWRJA Tazwell Facility trains all volunteer/contractor staff on appropriate boundaries with inmates and conducts criminal background checks of the volunteer/contractor before any inmate contact is made. Standard Operating Procedure 3.01 Harassment verifies that sexual relations/abuse with inmates is prohibited and the volunteer/contractor will be turned over to the Virginia State Police for further criminal investigation and possible prosecution. There were no substantiated investigations of Volunteer/Contractor misconduct during the 12-month audit period.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 3.01 Harassment • Standard Operating Procedure 2.01 • Volunteer/contractor PREA Disclosure Forms • Staff Interviews • Volunteer/Contractor Training

115.78	Disciplinary sanctions for inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Review of Standard Operating Procedure 13.01 Inmate Conduct/Discipline clarifies that inmates are subject to administrative disciplinary sanctions and/or criminal prosecution depending on the status of any sexual activity. Sanctions commensurate with the nature and seriousness of the offense committed and depending on if the sexual activity was consensual.</p> <p>The administrative disciplinary process considers whether an inmate’s mental disabilities contributed to the nature of the offense and what, if any sanctions should be imposed.</p> <p>The SWRJA Tazwell Facility does not discipline inmates for making a report of sexual</p>

	<p>abuse/sexual harassment in good faith nor does the facility discipline inmates for sexual contact with staff unless the staff member did not consent to such act.</p> <p>There were no instances of inmates being charged with performing sexual acts, either consensual or non- consensual during the audit cycle however, this auditor did interview the Facility Hearings Officer to ensuring compliance with this standard.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 13.01 Inmate Conduct/Discipline Inmate Disciplinary Files • PREA Questionnaire • Investigative Files • Interview with Disciplinary Hearings Officer
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115.81	Medical and mental health screenings; history of sexual abuse
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>All transferred in inmates receive a follow up Mental Health Evaluation within 14 days of their arrival at the facility. Subsequently, the follow up re assessment for an inmate’s risk of sexual victimization occurs during this session as well, succeeding the initial screening during the intake process. Mental Health Staff reevaluate the inmate’s risk of sexual victimization as well as any past history of sexual abuse. If the Mental Health Clinician determines that an inmate is at a high risk of sexual victimization, predation or has experienced past sexual abuse, the Mental Health Clinician will notify the PREA Coordinator. The PREA Coordinator, in collaboration with the Mental Health Clinician and Facility Counselor will conduct another screening of the inmate utilizing the Facility’s Classification Screening Tool in order to determine the appropriate housing for the inmate as well further counseling services. If the inmate is within the criteria listed in the Code of Va. Mandatory Reporting Laws, the Mental Health Clinician will notify the proper authorities. There were 2 instances where inmate disclosed past sexual victimization upon intake at the Facility during the audit cycle. These disclosures triggers an alert within the Agency's computerized program and those alerts are sent directly to Mental Health. In both instances the inmates were seen by mental health, classified and housed based on this screening alert.</p> <p>Based on review of Standard Operating Procedure 2.01 PREA, Inmate informed consent forms, classification screenings and Interviews with the Mental Health Clinician, the Health Services Administrator and the PREA Coordinator, this auditor finds the SWRJA Tazwell Facility compliant with this standard.</p>

	<p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • SWRJA Classification Screening Tool • Mental Health 14-day Assessment Forms • Informed Consent Forms • Classification Alerts • Mental Health Assessments
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115.82	Access to emergency medical and mental health services
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based on this auditor’s review of Standard Operating Procedure 18.06 Inmate Medical Care, Standard Operating Procedure MG-03 Sexual Assault and interviews with classification and screening staff as well as Mental Health and Medical staff, it is evident that adequate policies have been implemented to ensure unimpeded medical and crisis intervention care is to be provided to inmates who have suffered sexual abuse.</p> <p>The offsite treatment is to be rendered at Carrilion Hospital by a forensic medical exam nurse and appropriate emergency contraception and sexually transmitted prophylaxis is provided at no expense to the alleged victim. This auditor reviewed the Tazwell Carrilion Hospital website and verified that a certified SANE Nurse would be made available for all sexual assault victims. There is a Memorandum of Understanding between Southwest Regional Jail Authority and Highlands Community Services which states that a victim advocate will be provided upon request and Mental Health services will be provided upon the inmate’s return to the facility.</p> <p>There were no incidents of sexual abuse during the audit cycle that required emergency medical transport or forensic examination.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 18.06 Inmate Medical Care • Standard Operating Procedure MG-03 Sexual Assault • Memorandum of Understanding with Highlands Community Services Board • Interview with PREA Coordinator • Interviews with Medical and Mental Health Staff • PREA Questionnaire

	<ul style="list-style-type: none"> • Carrilion Hospital Website
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115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The SWRJA Tazwell Facility offers follow up medical and mental health evaluation and treatment to all offenders who have been victimized by sexual abuse while incarcerated at the facility. The evaluation and treatment of such victims include follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in other SWRJA Facilities.</p> <p>The facility provides such victims with medical and mental health services consistent with community level of care without financial cost to the alleged victim. Female offenders who are the victim of sexual abuse while incarcerated receive pregnancy testing and access to pregnancy related medical treatment. The facility did not have any incidents of sexual abuse during the audit cycle which required follow up treatment.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure MG-03 Sexual Assault • Interviews with Staff • Review of Investigative Files

115.86	Sexual abuse incident reviews
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The SWRJA Tazwell Facility conducts Incident Reviews at the conclusion of every substantiated or unsubstantiated sexual abuse investigation. The Review team is comprised of the PREA Coordinator, the facility PREA Compliance Manager, the Chief of Security, Captain, Health Authority and Mental Health Clinician. The review team considers all criteria as mandated in standard 115.86.</p>

	<p>There was 1 unsubstantiated case of alleged sexual abuse during the audit cycle and this auditor conducted a thorough review of the investigative file as well as the After Incident Review which verified compliance with this standard.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Investigative Files • After Incident Review • PREA Questionnaire
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115.87	Data collection
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Southwest Regional Jail Authority collects accurate data for sexual abuse at all 4 facilities under the agencies control and posts this data on the agency website. The incident data collected is based on the most recent version of the Survey of Sexual Violence by the Department of Justice. The collected and aggregated data is reported to the Department of Justice upon request.</p> <p>Documentation, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 • PREA Examples of surveys • Aggregated annual data • PREA questionnaire • Agency Website

115.88	Data review for corrective action
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>Based on review of Standard Operating Procedure 2.01 PREA and the agency website http://www.swvrja.org/administration/prison-rape-elimination-act as well as past corrective actions, it was determined that the Southwest Regional Jail Authority is in</p>

	<p>compliance with this standard.</p> <p>Documents, policies and other material reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Agency website • Annual Reports
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115.89	Data storage, publication, and destruction
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>The Southwest Regional Jail Authority maintains all annually aggregated sexual abuse data for all facilities under the agencies control for at least 10 years after the date of the initial collection as per the National PREA Standards.</p> <p>All aggregated data is securely stored within the Agency's PREA Coordinator's Office and is accessible upon request.</p> <p>Documentation, policies and other materials reviewed to determine compliance:</p> <ul style="list-style-type: none"> • Standard Operating Procedure 2.01 PREA • Interview with PREA Coordinator • Observation of Secure Storage Area

115.401	Frequency and scope of audits
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>During the onsite portion of the audit for this facility, this auditor was granted full unimpeded access to the entire facility, a private office in order to interview staff and inmates and all requests for follow up documentation was supplied.</p>

115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard

	<p>Auditor Discussion</p> <p>Past Audit reports are available on the agencies website and were reviewed at the beginning of this audit. This auditor will request, at the submission of this final report, a verification notice from the agencies PREA coordinator, when this audit report is posted on the Agencies website.</p>
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Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na
115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	na

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	yes
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	yes
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	yes

	facility does not have female inmates.)	
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	yes
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	yes
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who	yes

	may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	

	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	na
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	na
115.22 (a)	Policies to ensure referrals of allegations for investigations	

	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	yes
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes

	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	

	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes

	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and	yes

	Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or	yes

	suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na
115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective	yes

	screening instrument?	
115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)	yes

	Whether the inmate is detained solely for civil immigration purposes?	
115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive	yes

	information is not exploited to the inmate's detriment by staff or other inmates?	
115.42 (a)	Use of screening information	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would	yes

	present management or security problems?	
115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing	yes

	solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)	
115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	na
115.43 (c)	Protective Custody	

	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d) Protective Custody		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e) Protective Custody		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a) Inmate reporting		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b) Inmate reporting		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain	yes

	anonymous upon request?	
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	na
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	na
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	na
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from	na

	this standard.)	
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	na
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	na
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	na
115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	na
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	na
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	na
115.52 (f)	Exhaustion of administrative remedies	

	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).	na
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	na
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	na
115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,	na

	including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual	yes

	abuse or sexual harassment or retaliation?	
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in	yes

	response to an incident of sexual abuse?	
115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of	yes

	sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations	yes

	of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes

	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

115.73 (b) Reporting to inmates		
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	yes
115.73 (c) Reporting to inmates		
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d) Reporting to inmates		
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually	yes

	abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes

	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish	yes

	evidence sufficient to substantiate the allegation?	
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	na
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	na
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	yes
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior	yes

	sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	
115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	Ongoing medical and mental health care for sexual abuse	

	victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	yes
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	na

115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	na
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant	yes

	to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	
115.88 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	

	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	yes
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	na
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403	Audit contents and findings	

(f)		
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes