# PREA Facility Audit Report: Final

**Name of Facility:** Abingdon Regional Jail  
**Facility Type:** Prison / Jail  
**Date Interim Report Submitted:** NA  
**Date Final Report Submitted:** 07/18/2021

### Auditor Certification

<table>
<thead>
<tr>
<th>The contents of this report are accurate to the best of my knowledge.</th>
<th>✓</th>
</tr>
</thead>
<tbody>
<tr>
<td>No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.</td>
<td>✓</td>
</tr>
<tr>
<td>I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.</td>
<td>✓</td>
</tr>
</tbody>
</table>

**Auditor Full Name as Signed:** Rebecca Denise Young  
**Date of Signature:** 07/18/2021

### AUDITOR INFORMATION

<table>
<thead>
<tr>
<th>Auditor name:</th>
<th>Young, Rebecca</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email:</td>
<td><a href="mailto:rebeccayoung1819@gmail.com">rebeccayoung1819@gmail.com</a></td>
</tr>
<tr>
<td>Start Date of On-Site Audit:</td>
<td>06/28/2021</td>
</tr>
<tr>
<td>End Date of On-Site Audit:</td>
<td>06/29/2021</td>
</tr>
</tbody>
</table>

### FACILITY INFORMATION

<table>
<thead>
<tr>
<th>Facility name:</th>
<th>Abingdon Regional Jail</th>
</tr>
</thead>
<tbody>
<tr>
<td>Facility physical address:</td>
<td>15205 Joe Derting Drive, Abingdon, Virginia - 24210</td>
</tr>
<tr>
<td>Facility Phone:</td>
<td></td>
</tr>
<tr>
<td>Facility mailing address:</td>
<td>P.O. Box 279, Meadowview, Virginia - 24361</td>
</tr>
</tbody>
</table>

### Primary Contact

<table>
<thead>
<tr>
<th>Name:</th>
<th>jeannie patrick</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email Address:</td>
<td><a href="mailto:jpatrick@swvrja.com">jpatrick@swvrja.com</a></td>
</tr>
<tr>
<td>Telephone Number:</td>
<td>2767393527</td>
</tr>
</tbody>
</table>

### Warden/Jail Administrator/Sheriff/Director

<table>
<thead>
<tr>
<th>Name:</th>
<th>Stephen Clear</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email Address:</td>
<td><a href="mailto:sclear@swvrja.com">sclear@swvrja.com</a></td>
</tr>
<tr>
<td>Telephone Number:</td>
<td>2767393520</td>
</tr>
</tbody>
</table>
### Facility PREA Compliance Manager

<table>
<thead>
<tr>
<th>Name</th>
<th>Katie Owens</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email Address</td>
<td><a href="mailto:kowens@swvrja.com">kowens@swvrja.com</a></td>
</tr>
<tr>
<td>Telephone Number</td>
<td>O: 276-739-3520</td>
</tr>
</tbody>
</table>

### Facility Health Service Administrator On-site

<table>
<thead>
<tr>
<th>Name</th>
<th>Kaveh ofogh</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email Address</td>
<td><a href="mailto:kofogh@medikopc.com">kofogh@medikopc.com</a></td>
</tr>
<tr>
<td>Telephone Number</td>
<td>804-433-1040</td>
</tr>
</tbody>
</table>

### Facility Characteristics

<table>
<thead>
<tr>
<th>Designed facility capacity</th>
<th>620</th>
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<tbody>
<tr>
<td>Current population of facility</td>
<td>873</td>
</tr>
<tr>
<td>Average daily population for the past 12 months</td>
<td>724</td>
</tr>
<tr>
<td>Has the facility been over capacity at any point in the past 12 months?</td>
<td>Yes</td>
</tr>
<tr>
<td>Which population(s) does the facility hold?</td>
<td>Both females and males</td>
</tr>
<tr>
<td>Age range of population</td>
<td>18 and older</td>
</tr>
<tr>
<td>Facility security levels/inmate custody levels</td>
<td>minimum, medium, and maximum</td>
</tr>
<tr>
<td>Does the facility hold youthful inmates?</td>
<td>Yes</td>
</tr>
<tr>
<td>Number of staff currently employed at the facility who may have contact with inmates</td>
<td>149</td>
</tr>
<tr>
<td>Number of individual contractors who have contact with inmates, currently authorized to enter the facility</td>
<td>5</td>
</tr>
<tr>
<td>Number of volunteers who have contact with inmates, currently authorized to enter the facility</td>
<td>186</td>
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</tbody>
</table>

### AGENCY INFORMATION

<table>
<thead>
<tr>
<th>Name of agency</th>
<th>Southwest Virginia Regional Jail Authority</th>
</tr>
</thead>
<tbody>
<tr>
<td>Governing authority or parent agency (if applicable):</td>
<td></td>
</tr>
<tr>
<td>Physical Address</td>
<td>15205 Joe Derting Drive, Abingdon, Virginia - 24210</td>
</tr>
<tr>
<td>Mailing Address:</td>
<td></td>
</tr>
<tr>
<td>Telephone number:</td>
<td></td>
</tr>
</tbody>
</table>
### Agency Chief Executive Officer Information:

<table>
<thead>
<tr>
<th>Name:</th>
<th>Email Address:</th>
<th>Telephone Number:</th>
</tr>
</thead>
</table>

### Agency-Wide PREA Coordinator Information

| Name: Jeannie Patrick | Email Address: jpatrick@swvrja.com |
### AUDIT FINDINGS

**Narrative:**

The auditor’s description of the audit methodology should include a detailed description of the following processes during the pre-audit, on-site audit, and post-audit phases: documents and files reviewed, discussions and types of interviews conducted, number of days spent on-site, observations made during the site-review, and a detailed description of any follow-up work conducted during the post-audit phase. The narrative should describe the techniques the auditor used to sample documentation and select interviewees, and the auditor’s process for the site review.

This auditor was contracted by the Southwest Regional Jail Authority on May 11, 2021 for the purpose of conducting a PREA Recertification Audit of the Agency’s Abingdon Facility. From this point further, the Southwest Regional Jail Authority will be recorded as SWRJA, Abingdon Facility.

This auditor made contact with Lieutenant Jeannie Patrick, agency PREA Coordinator, to make arrangements and prepare for the audit process. The Audit Notice was sent to the facility on May 11, 2021 and the PREA coordinator verified to this auditor that the notice was posted in all housing units and common areas of the facility by submitting a date stamped photo.

The 1st phase of the audit began with the review of the SWRJ Abingdon Facilities submitted audit documentation and review the State of Virginia’s Mandated Reporting Laws. The Bristol Crisis Center and The Virginia Department of Social Services was contacted to inquire if any complaints or concerns had been recorded concerning the SWRJ Abingdon Facility during the audit cycle. A web search was also conducted of the SWRJ, Abingdon Facility. A tentative audit schedule was sent electronically on June 15, 2021 in preparation for the onsite portion of the audit.

Phase 2 of the audit began on June 28, 2021. this auditor arriving at the facility at approximately 9:00am and spent 2 full days at the SWRJA Abingdon Facility. This auditor met with the SWRJ Abingdon Facility Leadership Team to include the Jail Superintendent.

**Observations made during the onsite tour:**

Cells and dayrooms were well lit and Officers had an unobstructed view of inmates. The shower areas were located in the front of the housing unit and did have privacy curtains in order to allow inmates to disrobe and bathe in private. Staff announced members of the opposite sex entering the housing units, demonstrating that the practice had been institutionalized. A privacy notice, the PREA Audit notice and information pertaining to how to access the sexual abuse hotline was posted in each dayroom near the telephones and inmate kiosks. The phones and kiosk were in working order. Inmates were questioned pertaining to their knowledge of how to report sexual abuse. Each offender questioned could explain methods available to self-report sexual abuse or harassment demonstrating that the reporting techniques had been institutionalized and made a common practice. Cameras were strategically placed throughout the facility and monitored by a control room outside of each housing unit as well as master control. Both the housing unit control rooms and master control is manned 24 hours per day. Further review of the video monitoring systems in the control rooms revealed that the facility had went above expectations by developing a strategically placed censored privacy zone for all shower areas to reduce the risk of incidental viewing by control room staff. This auditor observed no issues with blind spot areas throughout the facility. This auditor toured the intake and booking area, asked pertinent questions, observed the PREA video and had staff to walk through the intake screening process. The audit tour was completed at approximately 12:00pm.

This auditor chose inmate interviewees by requesting a facility alpha list. The inmates were chosen at random with this auditor attempting to choose at least one inmate from every housing unit. Random Staff interviews were chosen from the daily duty rosters. All Interviews were conducted in a private location in order to maintain confidentiality and all staff and inmates interviewed were advised of this auditor’s duty to report per the Code of Virginia’s mandated reporting laws.

During the onsite portion of the audit, SWRJ Abingdon Facility housed approximately 597 male and 165 female inmates. In order to ensure the mandated interview percentage, this auditor conducted a total of 35 random interviews on inmates, 22 male and 13 females. In addition, 7 targeted interviews were conducted as follows:

- 3 LGBTI inmates
- 1 inmate with a disability
- 1 inmate flagged as High risk for sexual victimization
- 1 inmate who self-reported prior victimization
- 1 inmate who filed a PREA allegation during the audit period

During the onsite portion of the audit, there were no inmates housed at SWRJA Abingdon Facility that was limited English speaking or who identified as Transgender or Intersex.

25 staff members were interviewed to include specialized staff.

This auditor conducted a comprehensive review of the following files:

| 3 LGBTI inmates                  |
| 1 inmate with a disability      |
| 1 inmate flagged as High risk for sexual victimization |
| 1 inmate who self-reported prior victimization |
| 1 inmate who filed a PREA allegation during the audit period |

During the onsite portion of the audit, there were no inmates housed at SWRJA Abingdon Facility that was limited English speaking or who identified as Transgender or Intersex.

25 staff members were interviewed to include specialized staff.

This auditor conducted a comprehensive review of the following files:
- Staff training files
- Inmate classification and reassessment forms
- Intake-screening files
- Mental health follow up forms
- HR files for hiring and promotion decisions
- Investigative files and Follow Up Incident Reviews
- VCIN Criminal Background Logs
- Relevant Email Documentation

There were 8 allegations of sexual abuse with 1 substantiated at SWRJ Abingdon Facility during this audit cycle and 31 allegations of sexual harassment, 2 were substantiated. This Auditor conducted a comprehensive review of the investigative files to ensure that administrative investigations were being conducted properly and that investigators were not imposing a standard higher than preponderance of the evidence in determining the outcome of investigations.

The 3rd and final phase of the audit began on July 8, 2021 with further review of audit documentation and triangulation of the audit findings. SWRJ Abingdon Facility provided this auditor with sufficient and adequate documentation to successfully complete this audit and make the determinations of compliance as described in further detail in this report.
Facility Characteristics:
The auditor’s description of the audited facility should include details about the facility type, demographics and size of the inmate or resident population, numbers and type of staff positions, configuration and layout of the facility, numbers of housing units, description of housing units including any special housing units, a description of programs and services, including food service and recreation. The auditor should describe how these details are relevant to PREA implementation and compliance.

Southwest Regional Jail Abingdon Facility is located in Meadowview Va. and serves the Counties of Russell, Washington and Smith. The facility houses both male and female offenders with security levels ranging from minimum to maximum.

The facility was opened in April 2005 with a maximum bed capacity of 366 general population beds. The previous physical plant structure prior to modification was a single story structure with primarily 2 level housing units containing approximately 159,853 square feet with a total of 10 general population housing units, 3 dormitories, and 3 multipurpose housing units to include (Medical and both male and female Special Housing Units).

On February 12, 2015, construction on the expansion project of the Abingdon Facility was completed. The new operating capacity, as established by the Department of Corrections (DOC), is 620 general population beds. The physical plant modifications added an additional 7 housing units to include 4 general population-housing units, 1 dormitory, a new SHU (Special Housing Unit), and the conversion of court holding into a dormitory. SWRJ is currently operating with 2 single cell housing units, 1 segregation housing unit containing 77 individual beds, 14 multiple occupants housing units and 6 dormitory style housing units.

The facility was equipped with a combination of video monitoring and other monitoring devices to include 90 cameras, intercom systems, and domed mirrors throughout the facility to aid in the monitoring of staff and inmates. The Video monitoring system was updated in 2015 to add an additional 111 cameras for the purpose of eliminating blind spots and to aid the facility in deterring and preventing sexual abuse.

The facility has a secure Records Department, a full functioning Laundry Department and a Kitchen that is supervised by a Certified Dietician. The facility provides 3 designated classroom areas for the purpose of offering beneficial inmate programs for the purpose of rehabilitation and education. The Intake area is equipped with a lobby, holding cells and a shower/bathroom area. There is a 42-inch television mounted on the wall of the lobby that plays a PREA video on a rotating schedule. This video is viewable and the sound is adequate for inmates in the lobby as well as inmates being detained in the holding cells.

SWRJ Abingdon Facility contains a Medical Department that serves as a fulltime infirmary and is staffed with contract employees through Wexford Incorporated. Medical licensed Clinicians provide 24-hour medical care. A Dental Department operates under the supervision of a contract dentist. 2 full time Mental Health Clinicians provide adequate Mental Health care to the facilities inmate population.

SWRJ Abingdon Facility currently employees 149 staff members and operates under the shift design of 1 8 hour shift, Mon. through Friday and 4 12 hour shifts with 36 staff members on day shift and 32 staff members on night shift to include medical staff. Review of the Facilities Staffing plan and duty rosters provided this auditor with sufficient evidence to determine that the staffing ratios were adequate in the SWRJA Abingdon Facilities efforts to prevent and deter incidents of Sexual abuse and harassment.

There are currently 189 approved volunteer/contractors who provide services at SWRJ Abingdon Facility to include a full time Chaplain. This auditor conducted a comprehensive review of facility training files and conducted interviews with contractor staff to ensure that all volunteers and contractors had the appropriate training in detecting, preventing and their duty to report incidents of sexual abuse or sexual harassment. Due to the COVID 19 Pandemic, SWRJA Abindon Facility has suspended all volunteer services at this time.
**AUDIT FINDINGS**

<table>
<thead>
<tr>
<th>Number of standards exceeded:</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of standards met:</td>
<td>44</td>
</tr>
<tr>
<td>Number of standards not met:</td>
<td>0</td>
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</tbody>
</table>

This auditor was provide full and unimpeded access to the SWRJA Abingdon Facility in order to make the overall standards compliance determination:

- **Standards Exceeded:** 1 1533 *Inmate Education*
- **Standards Met:** 44
- **Standards Not Met:** 0
### Standards

<table>
<thead>
<tr>
<th>Auditor Overall Determination Definitions</th>
</tr>
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<tbody>
<tr>
<td>• Exceeds Standard</td>
</tr>
<tr>
<td>(Substantially exceeds requirement of standard)</td>
</tr>
<tr>
<td>• Meets Standard</td>
</tr>
<tr>
<td>(substantial compliance; complies in all material ways with the stand for the relevant review period)</td>
</tr>
<tr>
<td>• Does Not Meet Standard</td>
</tr>
<tr>
<td>(requires corrective actions)</td>
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<table>
<thead>
<tr>
<th>Auditor Discussion Instructions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</td>
</tr>
</tbody>
</table>
### Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

<table>
<thead>
<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
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**Auditor Discussion**

The Southwest Regional Jail Authority employees 1 Administrative Lieutenant as the PREA Coordinator that oversees 4 separate facilities and 4 PREA Compliance Managers, 1 placed at each facility, which is in compliance with this standard. The PREA Coordinator answers directly to the SWRJA Regional Superintendent and each Facility PREA Compliance Manager answers to the jail administrator demonstrating that both the PREA Coordinator and each PREA Compliance Manager have the authority to make high level decisions pertaining to administrative reviews.

Both the PREA Coordinator and the PREA Compliance Manager was interviewed during the on-site portion of this audit. Both the PREA Coordinator and the facility PREA Compliance Manager stated that they had sufficient time and authority to coordinate the facilities efforts to comply with the PREA standards.

The facility’s Standard Operating Procedure 2.01 “Prison Rape and Elimination Act” mandates zero tolerance toward all forms of sexual abuse and sexual harassment and outlines Southwest Regional Jail’s approach to preventing, detecting, and responding to such conduct and that all violators or perpetrators of Sexual abuse will be turned over to the Virginia State Police for criminal investigation and prosecution.

**Policy, materials and other evidence reviewed:**

- Standard Operating Procedure 2.01 Titled “Prison Rape Elimination Act”
- Agencies Organizational Chart
- PREA Auditor Questionnaire
- Staff Interviews
<table>
<thead>
<tr>
<th>115.12</th>
<th>Contracting with other entities for the confinement of inmates</th>
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<tbody>
<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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</tr>
<tr>
<td><strong>Auditor Discussion</strong></td>
<td></td>
</tr>
<tr>
<td>The Facility entered into a Memorandum of Understanding with the U.S. Marshall Services in July 27, 2015 in order to assist with the temporary housing of Federal detainees. The Memorandum of Understanding was updated and modified in 2017. As of the date of the onsite audit, Abingdon Regional Jail was holding approximately 155 Federal detainees. This auditor reviewed the Memorandum of Understanding in its entirety. The Memorandum of Understanding does state that any entity holding Federal detainees will adopt and abide by all Federally Mandated PREA standards.</td>
<td></td>
</tr>
<tr>
<td><strong>Policy, materials and other materials reviewed:</strong></td>
<td></td>
</tr>
<tr>
<td>• Standard Operating Procedure 26.04</td>
<td></td>
</tr>
<tr>
<td>• Memorandum of Understanding between southwest Regional Jail Authority and U.S. Marshall Service</td>
<td></td>
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<tr>
<td>• Interviews with staff and federal detainees</td>
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</table>
### 115.13 Supervision and monitoring

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

A comprehensive review was conducted of the Agency's vacancy rates, staffing plans and daily duty rosters, the only deviations found were officer call ins and emergency transportation runs. It was also taken into consideration that SWRJ deploys an extensive video monitoring system that aids in the supplementation of staffing levels. The video monitoring is conducted by housing unit control rooms that are manned 24 hours per day. This was verified by daily duty rosters.

**Staffing Ratios:**

- Total number of Staff per shift: 31 (Day) 29 (Night)
- Total daily average number of inmates: 762
- Total number of Housing Units: 20
- Total number of Inmates housed in each unit: 70 to 80
- Total staff to offender ratio: 1:20
- Total Staff assigned to each housing unit: 1 to 2 according to number of inmates housed and the security level of the housing unit.

Based on the review of the SWRJ Annual Staffing Plan Review Memorandum, daily duty rosters and interviews with the PREA Coordinator, the facility PREA Compliance Manager and the Superintendent, it was determined that the facility was making a best faith effort to comply with standard 115.13 and to protect inmates from sexual abuse and sexual harassment.

Review of Standard Operating Procedure 12.12 Staff Training and observation during the onsite portion of the audit verified that supervisors conducted unscheduled unannounced rounds in an attempt to identify and deter staff sexual abuse and harassment.

**Policy, evidence and other material reviewed:**

- Facility Staffing Plan
- Annual Staffing Plan Review Memorandum
- Daily Duty Rosters
- Agency Vacancy Rate
- Standard Operating Procedure 12.12 Staff Training
- Video Monitoring Systems
- PREA Audit Questionnaire
- Staff Interviews
### 115.14 Youthful inmates

<table>
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<tr>
<th>Auditor Overall Determination: Meets Standard</th>
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<tr>
<td>Auditor Discussion</td>
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</tbody>
</table>

SWRJ Abingdon Facility does not house juvenile offenders unless the juvenile offender has been adjudicated. There were no youthful offenders housed at this facility during the time of this audit or in the last 12 months leading up to this audit however: the SWRJ Facility is equipped to house youthful offenders and review of policy 27.01 Youthful Offenders describes actions that need to be taken in the event the facility receives a youthful offender.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure 27.01 Youthful offenders
- Standard Operating Procedure 12.08 Inmate Movement
- Facility Memorandum Stating No Youthful Offenders Housed During Audit Period
## 115.15 Limits to cross-gender viewing and searches

<table>
<thead>
<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
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</thead>
<tbody>
<tr>
<td>Auditor Discussion</td>
<td></td>
</tr>
<tr>
<td><strong>Overview</strong></td>
<td></td>
</tr>
<tr>
<td>Review of Standard Operating Procedure 12.10 Searches of Inmates and Facility and Standard Operating Procedure 10.02 Bathing, showers and hair care reveals that SWRJ Abingdon Facility has policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks.</td>
<td></td>
</tr>
<tr>
<td>Review of the video monitoring systems in the control rooms revealed that the facility utilizes strategically placed censored privacy zone for all shower areas to reduce the risk of incidental camera viewing by control room staff.</td>
<td></td>
</tr>
<tr>
<td>Observation during the onsite portion of the audit revealed that staff was announcing members of the opposite sex before entering the housing areas or any area where inmates may be disrobed. Furthermore, during interviews, inmates did confirm that staff of the opposite sex announced their presence when entering housing units and they felt comfortable verifying that this portion of the standard had been institutionalized.</td>
<td></td>
</tr>
<tr>
<td>Standard Operating Procedure 12.10 Searches of Inmates and Facility provided clarification that the facility does not conduct cross-gender strip searches or cross-gender visual body cavity searches except in exigent circumstances and does not examine transgender or intersex inmates for the sole purpose of determining their sex. Review of training records reveal that all staff are properly trained in pat down searches and an annual refresher training occurs. There were no instances of cross gender pat down searches during the audit period.</td>
<td></td>
</tr>
<tr>
<td><strong>Policy, materials and other evidence reviewed:</strong></td>
<td></td>
</tr>
<tr>
<td>- Standard Operating Procedure 12.10 Searches of Inmates and Facility</td>
<td></td>
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<tr>
<td>- Standard Operating Procedure 10.02 Bathing, Shower and Hair Care</td>
<td></td>
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<td>- Staff Training Power Point</td>
<td></td>
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<tr>
<td>- Staff and Offender Interviews</td>
<td></td>
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</tbody>
</table>
### Inmates with disabilities and inmates who are limited English proficient

<table>
<thead>
<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
</tr>
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</table>

**Auditor Discussion**

SWRJ utilizes the services of Language Link International Interpreter Services in order to provide interpreter services for non-proficient English speaking inmates. There were no non-proficient English-speaking inmates during the onsite portion of the audit. However, SWRJ Abingdon Facility provides inmate orientation in both English and Spanish and during onsite tour, it was noticed that these materials were made widely available and that inmate interpreters were not being utilized.

This auditor interviewed 2 inmates with physical and cognitive disabilities during the onsite portion of the audit and both inmates were well aware of how to access the incorporated systems in order to report sexual abuse or harassment and both inmates were participating in facility programs.

SWRJ takes appropriate steps to ensure that inmates with disabilities have a clear understanding and are allowed to participate in all aspects of the facility and the programs provided to include their efforts in preventing and deterring sexual abuse and harassment.

**Documentation, policy and other materials reviewed:**

- Standard Operating Procedure 2.01 PREA
- Contract with Link International Interpreter Services
- Liongate telephonic information
- PREA materials in both English and Spanish
- PREA Audit Notice in English and Spanish
- Staff and inmate interviews
- PREA Audit Questionnaire
<table>
<thead>
<tr>
<th>115.17</th>
<th>Hiring and promotion decisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auditor Overall Determination: Meets Standard</td>
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<tr>
<td><strong>Auditor Discussion</strong></td>
<td></td>
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<tr>
<td>Based on review of Standard Operating Procedure 3.03 Recruitment and Selection, personnel files, applications and criminal background check logs this auditor was able to determine compliance. SWRJ Abingdon Facility does not hire or promote anyone who may have contact with inmates, and does not enlist the services of any contractor who may have contact with inmates, who has engaged in sexual abuse in any criminal justice facility, has been convicted of engaging or attempting to engage in criminal sexual activity in the community or has been civilly or administratively adjudicated to have engaged in criminal sexual activity.</td>
<td></td>
</tr>
<tr>
<td>A thorough background check is conducted for all newly hired or promoted staff, volunteers and contractors through the Virginia Criminal Interface Network (VCIN).</td>
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<tr>
<td>This auditor reviewed documentation to verify that a criminal background history was conducted on all present employees and volunteer/contractors in 2019 and that all new employees, volunteer/contractors undergo a 5 year background check and sign a PREA disclosure forms.</td>
<td></td>
</tr>
<tr>
<td><strong>Documentation, policy and other measures reviewed:</strong></td>
<td></td>
</tr>
<tr>
<td>• Standard Operating Procedure 3.03 Recruitment and Selection</td>
<td></td>
</tr>
<tr>
<td>• Personnel Files, applications for employment</td>
<td></td>
</tr>
<tr>
<td>• Employee Criminal Background Check Logs</td>
<td></td>
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<tr>
<td>• Volunteer/Contractor Criminal Background check logs</td>
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<tr>
<td>• PREA disclosure forms</td>
<td></td>
</tr>
<tr>
<td>115.18</td>
<td>Upgrades to facilities and technologies</td>
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<td>--------</td>
<td>----------------------------------------</td>
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<tr>
<td></td>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
</tr>
<tr>
<td></td>
<td><strong>Auditor Discussion</strong></td>
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<tr>
<td></td>
<td>The SWRJ Abingdon Facility has not acquired any new facilities or made substantial expansions and modifications of the existing facility since the last PREA audit conducted. During the onsite audit tour, this auditor did observe and review the video monitoring system in place and found it to be adequate.</td>
</tr>
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## 115.21 Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
</tr>
</thead>
</table>

### Auditor Discussion

Administrative investigations concerning sexual abuse and sexual harassment are conducted by trained investigators at SWRJ, Abingdon Facility using the proper uniformed evidence protocols and preponderance of the evidence as in accordance with this standard and training set forth by the Department of Justice. All investigations that are found to be criminal in nature are immediately forwarded to the Virginia State Police for further investigation and possible criminal prosecution. This auditor reviewed a Memorandum of Understanding between the Southwest Regional Jail Authority and the Virginia State Police entered on January 2016. This memorandum identifies each entity’s responsibility during the course of a sexual abuse investigation and does state that the Virginia State Police will act in accordance with the investigative requirements of the Prison Rape Elimination Act 28 C.F.R part 115.

SWRJ Abingdon Facility utilizes Carillon New River Valley Medical Center for sexual assault forensic examinations in an attempt to provide a certified SANE Nurse.

SWRJ Abingdon Facility provides victim advocate services to victims of sexual assault. This auditor reviewed a Memorandum of Understanding between the Southwest Regional Jail Authority and the Bristol Crisis Center entered April 2015 and updated in 2021. This memorandum identifies both entities’ responsibilities pertaining to victim advocacy services to include providing an onsite victim advocacy during all sexual assault examinations and follow-up counseling. There were no instances of sexual abuse during the audit cycle that required these services.

Based on review of investigative files and interviews with facility investigators assigned to SWRJ Abingdon Facility, the determination was made that the investigations were being conducted in compliance with this standard.

### Documentation, policy and other materials reviewed:

- Standard Operating Procedure MG 03 Sexual Assault Protocols
- Standard Operating Procedure 15.08 Inmate Medical Care
- Memorandum of Understanding between Southwest Regional Jail Authority and Virginia State Police
- Memorandum of Understanding between Southwest Regional Jail Authority and Bristol Crisis Center
- Investigator Training Files and Certificates
<table>
<thead>
<tr>
<th>115.22</th>
<th>Policies to ensure referrals of allegations for investigations</th>
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<tbody>
<tr>
<td><strong>Auditor Overall Determination:</strong></td>
<td>Meets Standard</td>
</tr>
<tr>
<td><strong>Auditor Discussion</strong></td>
<td>SWRJ Abingdon Facility conducts administrative investigations for allegations of sexual abuse or sexual harassment. This auditor conducted a comprehensive review of the facility’s investigative files. During the audit cycle there were a total of 38 allegations; 37 for sexual harrassment and 1 sexual abuse. There were 3 substantiated cases; 2 for sexual harrassment and 1 for sexual abuse. After a thorough review of these investigative case files and based on the preponderence of the evidence presented, it was determined that the 1 substantiated sexual abuse case was consensual and not an actual assault therefore, the investigation findings should have been deemed unsubstantiated. All reviewed investigative findings revealed that SWRJ Abingdon Facility was following the investigative protocols, status checks, Incident Reviews and official victim notifications in accordance with this PREA standard. A Memorandum of Understanding between the Southwest Regional Jail Authority and the Virginia State Police was incorporated in 2016 and clearly identifies both entities responsibilities pertaining to investigative duties. Standard Operating Procedure 2.01 Prison Rape Elimination Act also delineates specific investigative duties and is accessible through the Southwest Regional Jail Authority website.</td>
</tr>
</tbody>
</table>
| **Documentation, policies and other measures reviewed:** | - Standard Operating Procedure 2.01 Prison Rape Elimination Act  
- Memorandum of Understanding between the Southwest Regional Jail Authority and Virginia State Police  
- Investigator Interviews  
- Review of Investigative Files  
- Review of Investigative Checklists  
- Review of Incident Reviews  
- Review of Official Investigative Notifications |
Employee training

Auditor Overall Determination: Meets Standard

Auditor Discussion

Review of Standard Operating Procedure 4.02 General Training, the agencies training curriculum and staff training files, it was determined that SWRJA Abingdon Facility complies with standard 115.31. The facility trains all staff that has contact with inmates in an adequate manner pertaining to:

1. Its zero-tolerance policy for sexual abuse and sexual harassment;
2. How to fulfill their responsibilities under agency sexual abuse and sexual harassment Prevention, detection, reporting, and response policies and procedures;
3. Inmates’ right to be free from sexual abuse and sexual harassment;
4. The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment;
5. The dynamics of sexual abuse and sexual harassment in confinement;
6. The common reactions of sexual abuse and sexual harassment victims;
7. How to detect and respond to signs of threatened and actual sexual abuse;
8. How to avoid inappropriate relationships with inmates;
9. How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates;
10. How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.

All currently employed staff receives PREA training during an 8-hour orientation phase and a 4-hour refresher training annually and sign an acknowledgment form. During the random interview of staff phase of the onsite audit, all 15 staff members and 4 contractors interviewed were knowledgeable pertaining to the PREA standards and how to deter, prevent and report sexual abuse and harassment. Medical and Mental Health Professionals receive the Specialized Response training through the National Institute of Corrections.

Documentation, policies and other measures reviewed:

- Standard Operating Procedure 2.01 PREA
- Training Power Point
- Staff and Contractor Interviews
- Staff and Contractor Training Files
- Staff and Contractor Training Acknowledgment Forms
- Pocket Guides for Sexual Assault
<table>
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<tr>
<th>115.32</th>
<th>Volunteer and contractor training</th>
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<tbody>
<tr>
<td>Auditor Overall Determination:</td>
<td>Meets Standard</td>
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<tr>
<td>Auditor Discussion</td>
<td></td>
</tr>
<tr>
<td>Volunteers and Contractors at SWRJ Abingdon Facility receive the same caliber of training as staff and signs an acknowledgement form verifying that they understand the training and their duty to report any allegation of sexual abuse or harassment. Contract Commissary workers as well as contract medical staff were interviewed during the onsite portion of the audit. Due to the COVID 19 Pandemic, volunteer services have yet to be reinstated at SWRJA Abingdon Facility. All contract staff were knowledgeable pertaining to the PREA Standards and how to deter, prevent and report sexual abuse and sexual harassment. The Facility’s PREA Compliance Manager retains documentation and logs verifying that volunteer/contractors are trained.</td>
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<tr>
<td>Documents, policies and other measures reviewed:</td>
<td></td>
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<tr>
<td>- Standard Operating Procedure 4.02 General Training</td>
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<tr>
<td>- Volunteer/Contractor Training Curriculum and power point</td>
<td></td>
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<tr>
<td>- Signed Volunteer/Contractor Training Acknowledgement forms</td>
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### Inmate education

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<th>Auditor Overall Determination: Exceeds Standard</th>
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**Auditor Discussion**

Based upon this auditor’s review of Standard Operating Procedure 2.01 Prison Rape Elimination Act, the facility’s Offender Orientation Manual, signed Offender Acknowledgement forms, observation and random interviews during the onsite audit tour it has been determined that the SWRJ Abingdon Facility exceeds this standard.

Inmate orientation begins immediately upon intake where the inmates watch a PREA video during the booking process. The video contains Spanish subtitles. The inmates are presented orientation packets in either English or Spanish that details the SWRJ Abingdon Facility’s zero tolerance pertaining to sexual abuse and harassment and how to report suspicions of sexual abuse and harassment.

In 2021 the SWRJ Abingdon Facility has incorporated the PREA training video into their kiosk systems and new wifi enabled tablets. A free 50 bonus credits was established as an incentive for all inmates who access and review this video as well as the PREA information. This extra step provides added assurance all inmates receive the required PREA training in accordance with this standard. The SWRJA Abingdon Facility is to be commended for this extra effort.

The intake process was observed during the tour portion of the onsite audit and a review of the inmate orientation manual and the inmate kiosk information demonstrated that inmates receive comprehensive education pertaining to the PREA Standards and their rights to be free from retaliation for reporting suspicious behavior.

Further review of a random sample of inmate PREA education acknowledgement forms, Information provided for limited English proficient, blind or deaf inmates verified that the facility is conducting adequate training. Standard Operating Procedure 2.01 PREA identifies and addresses all disabilities and impairments.

**Documentation, policies and other measures reviewed:**

- Standard Operating Procedure 2.01 PREA
- Inmate orientation manual
- Staff interviews
- Inmate interviews
- Inmate PREA training video
- Educational brochures and flyers
- Inmate Kiosk system and wifi enabled tablets
<table>
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<tr>
<th><strong>115.34</strong></th>
<th><strong>Specialized training: Investigations</strong></th>
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<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
<td></td>
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<tr>
<td><strong>Auditor Discussion</strong></td>
<td></td>
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<tr>
<td>Investigators at SWRJ Abingdon Facility receive specialized training through the National Institute of Corrections Titled “Investigating Sexual Abuse in Correctional Settings” The SWRJA Abingdon Facility utilizes 9 specially trained investigators to ensure that an investigator is available on each break and shift. Training rosters and certificates were made available during the audit review and facility investigators were interviewed during the onsite portion of the audit. Each investigator was knowledgeable in the specialized protocols pertaining to administrative investigations to include evidence collection protocols, Marranda and Garrity warnings and making determinations based on preponderance of the evidence presented. Although there were no investigations that were referred for criminal investigation during the audit cycle, a review of SWRJA policy and the Memorandum of understanding with the Virginia State Police reveal that all investigations that have a potential for criminal prosecution are referred.</td>
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<tr>
<td><strong>Documentation, policies and other measures reviewed:</strong></td>
<td></td>
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<tr>
<td>- Standard Operating Procedure 4.02 General Training</td>
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<tr>
<td>- Memorandum of Understanding between the Southwest Regional Jail Authority and the Virginia State Police</td>
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<tr>
<td>- Investigator Training Curriculums</td>
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<td>- Investigator Training Records and Certificates</td>
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<td>- Investigator Interviews</td>
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<td>- Comprehensive Review of Investigative Files</td>
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</table>
Medical and Mental Health employees at SWRJ Abingdon Facility are contract employees that receive the same orientation training as the regular fulltime staff plus additional training specified for Medical and Mental Health Practitioners through the National Institute of Corrections on how to detect and assess signs of sexual abuse and sexual harassment. This training is documented on Specialized Training Rosters and maintained by the Facility PREA Compliance Manager. Both Medical and Mental Health Staff were interviewed during the onsite portion of the audit and all were knowledgeable pertaining to sexual assault evidence collection and treatment. Specialized training certificates were viewed as well.

SWRJ Abingdon Facility Utilizes Corrillon New River Valley Medical Center for forensic examinations in their attempt to provide a SANE Nurse.

Documentation, policies and other measures reviewed:

- Standard Operating Procedure 4.02 General Training
- Medical and Mental Health Staff Training Curriculum
- Medical and Mental Health Staff Training Rosters
- Medical and Mental Health Staff Onsite Interviews
- Medical and Mental Health Specialised Training Certificates
<table>
<thead>
<tr>
<th>115.41</th>
<th>Screening for risk of victimization and abusiveness</th>
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<tr>
<td>Auditor Overall Determination: Meets Standard</td>
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**Auditor Discussion**

Based on this auditor's review of Standard Operating Procedure 9.01 Classification, The SWRJ Screening and Classification Tool, onsite interviews with classification staff and inmates, it was determined that the facility was properly screening inmates within 72 hours of reception using an objective screening tool that considers at a minimum the following:

1. Whether the inmate has a mental physical or developmental disability
2. The age of the inmate
3. The physical build of the inmate
4. Whether the inmate has previously been incarcerated
5. Whether the inmate's criminal history is exclusively non-violent
6. Whether the inmate has prior convictions for sex offenses against an adult or child
7. Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex or gender non-conforming
8. Whether the inmate has previously experienced sexual victimization
9. The inmate's own perception of vulnerability
10. Whether the inmate is detained solely for civil immigration purposes

The classification-screening tool is a points based system ranging from 1 (lowest) to 14 (highest). The calculations are determined by the individual's answers, the individual's past criminal record, the individual's physical build and both staff and individual's perception of sexual victimization risk.

The assessment is conducted during the booking process and disseminated to the appropriate staff. (Medical, Mental Health, PREA Compliance Manager, PREA Coordinator, Counselors). Inmates are not disciplined for refusing to answer or for not disclosing information to the questions asked.

The SWRJ Abingdon facility conducts 30-day reassessments during the 14 day follow up Medical and Mental Health Assessment. Each inmate is asked again pertaining to his or her experiences of sexual abuse/harassment and their risk of victimization. The new information is documented and the Mental Health or Medical clinician will forward the completed form to the PREA Coordinator/PREA Compliance Manager. The PREA Coordinator or PREA Compliance Manager will compare the recorded answers on the Mental Health form and the answers on the original intake screening form. If the inmate's disclosure information has changed, the proper revisions will be made pertaining to the inmate's classification, programming, work and housing assignment.

In reviewing classification screening documentation provided, this auditor discovered a potential discrepancy in several completed screening forms. It appeared that the points based system was not being utilized by some staff members. Concerns were immediately relayed to the SWRJA PREA Coordinator and a comprehensive review was conducted of classification screening forms during the onsite portion of the audit that revealed this was a performance issue among certain booking staff. A memorandum was sent to all SWRJA staff at all 4 facilities as a reminder of the importance of recording and tallying all points on the screening tool. That email is being uploaded in the supplemental file section for verification that this discrepancy has been alleviated.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure 9.01 Classifications
- Southwest Regional Jail Authority Screening and Classification Tool
- 14 Day Follow Up Mental Health Assessment Forms
- Training Agenda and Training Rosters for Medical and Mental Health Staff
- Emails
- PREA Questionnaire
- Memorandum
<table>
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<tr>
<th>115.42</th>
<th>Use of screening information</th>
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<tr>
<td><strong>Auditor Overall Determination:</strong></td>
<td>Meets Standard</td>
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</tbody>
</table>

**Auditor Discussion**

Based on review of Southwest Regional Jail Authority’s screening and classification tool, it was determined that the facility was making appropriate individualized housing assignments based on information obtained in order to keep separate inmates who are a high risk of being victimized from inmates that are a high risk to be sexually abusive.

In reviewing classification screening documentation provided, this auditor discovered a potential discrepancy is several completed screening forms. It appeared that the points-based system was not being utilized by some staff members. Concerns were immediately relayed to the SWRJA PREA Coordinator and a comprehensive review was conducted of classification screening forms during the onsite portion of the audit that revealed this was a performance issue among certain booking staff. A memorandum was sent to all SWRJA staff at all 4 facilities as a reminder of the importance of recording and tallying all points on the screening tool. That email is being uploaded in the supplemental file section for verification that this discrepancy has been alleviated.

Facility PREA Review Committee makes all housing assignments for Transgender or Intersex offenders on a case-by-case basis with final authorization coming from the Superintendent. The committee considers health, safety and security problems while making these determinations.

Transgender and Intersex offenders are reassessed every 6 months by the PREA Review Committee to review threats of safety for the inmate.

Standard Operating Procedure 9.01 Classifications clarifies that a Transgender or Intersex offender’s views pertaining to their own safety shall be given serious consideration and that they will be allowed to shower separately from the rest of the population.

Southwest Regional Jail Authority does not place lesbian, gay, bisexual; transgender or intersex offenders in segregated housing based solely on their identification or status.

During the Onsite portion of the audit, there were no inmates who self-identified as being Transgender or Intersex. The LGB offenders interviewed verified that they were housed in regular population housing units.

**Documentation, policies, and other material reviewed:**

- Standard Operating Procedure 9.01 Classification
- PREA Review Committee Dockets
- Reassessment forms
- PREA Questionnaire
- Staff and Inmate Interviews
- Screening and Classification tool
<table>
<thead>
<tr>
<th>115.43</th>
<th>Protective Custody</th>
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<tbody>
<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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</tbody>
</table>

**Auditor Discussion**

Based on review of 14.02 Protective Custody Operations, staff and inmate interviews and placement review forms, it was determined that SWRJ Abingdon Facility had only 1 instance that an inmate was placed into involuntary segregation or protective custody during this audit cycle. This segregation was requested by the inmate and the housing period did not extend 30 days and was clearly for the inmate’s personal protection. SWRJA provided adequate documentation to determine compliance with the standard.

Inmates can request to be placed in protective custody by completing a Request for Protective Custody form. This form will be reviewed by the Facility PREA Review Committee and forwarded to the Superintendent for final authorization however; during the onsite audit, this auditor interviewed 3 HRSV offenders and all 3 offenders were housed in the facilities general population housing units.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure 14.01 Protective Custody Operations
- Staff and Inmate Interviews
- Placement Review Forms
- PREA Review Committee Dockets
- Protective Custody Request Forms
Inmate reporting

Auditor Overall Determination: Meets Standard

Auditor Discussion

SWRJA Abingdon Facility contracts with a private entity (Crisis Center, Bristol Va.) for inmates to report allegations of sexual abuse and sexual harassment through a special hotline number that can be accessed on the inmate phone system. The Sexual abuse hotline number and instructions are listed in the inmate handbook as well as being posted in each housing unit. Random interviews with inmates during the onsite portion of the audit verified that this information was made widely available.

Inmates are given “how to report” information in the inmate handbook which they receive upon intake. The handbook states that the inmate can report allegations to staff or through the sexual assault hotline or by verbally, written or third party. The information is also provided on the Inmate Kiosk System as well as posters and flyers in the housing units. The sexual abuse hotline system accepts anonymous and third party callers as well.

The visitation areas and front lobby was observed and did have information posted to advise family members and visitors how to report claims of sexual abuse and harassment.

Investigative reports were reviewed that verified third party and anonymous allegations were accepted by the facility and properly documented and investigated.

During the onsite portion of the audit, there were no inmates being detained for civil immigration purposes, however, policy does exist.

Based on review of Standard Operating Procedure 2.01 PREA, the Inmate Orientation Manual, and information obtained through contact with the Bristol Crisis Center, staff and inmate interviews this auditor verified that the SWRJA Abingdon Facility does provide adequate information on how to report claims of sexual abuse and sexual harassment directly, anonymously and by third party. During this audit cycle there were 38 reported allegations of sexual abuse or sexual harassment made. All 38 reports were properly investigated.

Documentation, policies and other material reviewed:

- Standard Operating Procedure 2.01 PREA
- Inmate Orientation Handbook
- Memorandum of Understanding with the Bristol Crisis Center
- Staff and Inmate interviews
- Investigative Reports
- Facility Tour Observations
- Inmate Phone System
- Inmate Kiosk System
<table>
<thead>
<tr>
<th>115.52</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Auditor Overall Determination: Meets Standard</td>
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<tr>
<td></td>
<td>Auditor Discussion</td>
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<tr>
<td></td>
<td>The Southwest Regional Jail Authority does not have administrative procedures in place to accept grievances for sexual abuse or sexual harassment. Therefore, this standard is deemed Non-applicable</td>
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<tr>
<td></td>
<td>Inmate access to outside confidential support services</td>
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<td></td>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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<td><strong>Auditor Discussion</strong></td>
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</table>

**SWRJA Abingdon Facility** provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers for the Crisis Center of Bristol, Virginia. The address and hotline numbers are clearly posted in housing units, in inmate kiosk and in the inmate orientation handbook. Southwest Regional Jail, Abingdon Facility enables reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible.

SWRJA Abingdon Facility informs inmates, prior to giving them access, of the extent to which such communications will be monitored and to the extent to which reports of sexual abuse will be forwarded to authorities in accordance with the State of Virginia’s mandatory reporting laws. This auditor did contact the Bristol Crisis Center as well as the Department of Social Services in this jurisdiction. 1 victim services advocate was requested during the audit cycle for an alleged abuse incident that supposedly took place by outside law enforcement. During the phone conversation with the advocate, the alleged victim did admit to making a false allegation in an attempt to try and circumvent the field sobriety testing. The reported incident was however properly investigated and reported to the Sherriff of that jurisdiction appropriately.

**Documentation, policy and other materials reviewed:**

- Memorandum of Understanding between the Southwest Regional Jail Authority and the Bristol Crisis Center.
- Inmate Orientation Handbook
- Invoice for Victim Advocate Services provided
- Emails
- Memo of Notification between facilities
- Confidentiality Statement
- Review of Investigative Files
### Third-party reporting

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<tr>
<th>Auditor Overall Determination: Meets Standard</th>
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**Auditor Discussion**

SWRJ Abingdon Facility has a method in place to receive 3rd party reports of sexual abuse and sexual harassment and displays publicly information how to report sexual abuse and sexual harassment on behalf of inmates. The information is posted in the front lobby and available on the Southwest Regional Jail Authorities website.

**Documentation, policies and other materials reviewed:**

- Agency website
- Onsite audit tour observations
- Inmate Orientation Handbook
<table>
<thead>
<tr>
<th>115.61</th>
<th>Staff and agency reporting duties</th>
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<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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**Auditor Discussion**

SWRJA Abingdon Facility staff has received adequate training in their duty as a First Responder to report as well as the proper steps to take to preserve any evidence and maintain confidentiality. Each staff member is required by the facility to carry a “First Responder Pocket Guide” that provides step by step instructions of their duties in the event of a report of sexual abuse. This auditor commends the Agencies PREA Coordinator for this extra precautionary step.

The State of Virginia has a mandatory duty to report law for any suspected incidents of abuse, neglect, or the exploitation of children, incapacitated persons or the elderly. Mental Health and Medical Practitioners inform inmates of these mandatory reporting laws. There were 2 allegations of sexual abuse during the audit period and all relevant documentation pertaining to these incidents were reviewed, including the SWRJ’s Sexual Assault Response Check list which was filled out properly.

Based upon this auditor’s review of Standard Operating Procedure 2.01 PREA, investigative reports, mental Health 14-day assessment forms and interviews with appropriate staff, it was determined that SWRJA Abingdon Facility is in compliance with this standard.

**Documents, policies and other material reviewed to determine compliance:**

- Standard Operating Procedure 2.01 PREA
- Staff Training Curriculum
- First Responder Pocket Guides
- Code of Virginia
- Virginia Department of Social Services
- Interviews with Mental Health and Medical Staff
- Review of Investigative Files
<table>
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<tr>
<th>115.62</th>
<th>Agency protection duties</th>
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<tbody>
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<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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<td></td>
<td><strong>Auditor Discussion</strong></td>
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<tr>
<td></td>
<td>Based on this auditor's comprehensive review of Standard Operating Procedure 2.01 PREA, Facility investigative reports, Incident Reports from First Responders, and interviews with staff and inmates, it was determined that the SWRJ Abingdon Facility responds with immediate action when learning that an inmate is in substantial risk of imminent sexual abuse.</td>
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<tr>
<td></td>
<td><strong>Documentation, policies and other materials reviewed:</strong></td>
</tr>
<tr>
<td></td>
<td>• Standard Operating Procedure 2.01 PREA</td>
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<td>• Review of Investigative Files</td>
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<td>• Incident Reports</td>
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<td>• Interviews with Staff and inmates</td>
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<td>• Review of Protective Custody forms and decisions</td>
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### Reporting to other confinement facilities

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<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
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<tr>
<td>Auditor Discussion</td>
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SWRJ Abingdon Facility had 1 incident that required notification during the audit period. Documentation was reviewed and proper investigation of the allegation was conducted. The alleged victim was offered victim advocate services and did admit during this conversation that she had made a false allegation in order to try and circumvent a field sobriety test. Proper notification was made to the Sheriff and the allegation was determined to be unfounded. Standard Operating Procedure 2.01 clearly defines this standard as well as the proper steps to take.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure 2.01 PREA
- Staff Interviews
- email and memo notification
- Review of investigative files
<table>
<thead>
<tr>
<th>115.64</th>
<th><strong>Staff first responder duties</strong></th>
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<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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**Auditor Discussion**

SWRJ Abingdon Facility provided 2 instances within the last 12 months of alleged sexual abuse demonstrating that the alleged perpetrator was separated from the victim. Both investigative files were reviewed which lead this auditor to make a determination of compliance. In each instance, the alleged victims were immediately separated from the alleged victim and all proper protocols were followed.

Further review of Standard Operating Procedure MG-03 Sexual Assault and the First Responders Checklist, demonstrated that facility staff members, upon learning of an allegation that an inmate was sexually abused, separates the alleged victim and abuser; preserves and protects any crime scene until appropriate steps can be taken to collect any evidence; and if the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.

Staff members are also required to carry “First Responder Pocket Checklist” that aides them in their First Responder duties. Each staff member interviewed did have this checklist available and stated that it was mandatory to carry on their person at all times.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure MG-03 In the Event of Sexual Abuse
- Review of Investigative Files
- Review of First Responders Checklist
- Review of First Responders Pocket Guide
- Interview with Staff and inmates
- PREA Questionnaire
<table>
<thead>
<tr>
<th>115.65</th>
<th>Coordinated response</th>
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<tbody>
<tr>
<td></td>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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<tr>
<td></td>
<td><strong>Auditor Discussion</strong></td>
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<tr>
<td></td>
<td>This auditor reviewed Standard Operating Procedure MG-03 Sexual Assault, which suffices as the Institutional Coordinated Response Plan to coordinate actions taken in response to an incident of sexual abuse. Facility Staff, medical and mental health practitioners, investigators and facility leadership receives yearly refresher training on the plan and was aware of their first responder duties when interviewed during the onsite portion of the audit.</td>
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<tr>
<td></td>
<td><strong>Documentation, policies and other materials reviewed:</strong></td>
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<tr>
<td></td>
<td>• Standard Operating Procedure MG-03 Sexual Assault</td>
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<td></td>
<td>• Staff Interviews</td>
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### Preservation of ability to protect inmates from contact with abusers

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<tr>
<th>Auditor Overall Determination: Meets Standard</th>
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<tr>
<td>Auditor Discussion</td>
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<tr>
<td>Based on this auditor’s review of pertinent policies and laws it was determined that the State of Virginia is a Right to Work State and does not permit Collective Bargaining Agreements in County or State Government. Therefore, there are no laws that permit staff who commit sexual abuse or sexual harassment to not be terminated and prosecuted.</td>
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#### Documentation, policy and other measures reviewed to determine compliance:

- State of Va. Laws
- SWRJ Policy
## 115.67 | Agency protection against retaliation

**Auditor Overall Determination:** Meets Standard

**Auditor Discussion**

Based on this auditor’s review of Standard Operating Procedure 2.01 PREA, Standard Operating Procedure 3.04 Standards of Conduct, Facility Status Check Logs and interviews with staff, it was determined that SWRJ Abingdon Facility does have a policy that protects both inmates and staff from retaliation.

Retaliation checks are performed by the Agency PREA Coordinator. The PREA Coordinator receives updates from the facility PREA Compliance Manager and Mental Health Practitioners titled “Status Check Forms”

The SWRJ Abingdon Facility Chief of Security is responsible for ensuring the protection of inmates who fear retaliation, making any moves necessary to protect the safety of the inmate. This information is reported back to the Agency PREA Coordinator, which triggers the Status Checks for up to 90 days or longer if warranted. The Bristol Crisis Center also provides victim advocacy services to inmates who report retaliation.

During the onsite audit, this auditor reviewed random samples of Status Check Forms as well as retaliation logs and emails between facility staff and the PREA Coordinator.

**Documentation, policies and other material reviewed:**

- Standard Operating Procedure 2.01 PREA
- Standard Operating Procedure 3.04 Standards of Conduct
- Status Check Forms
- Retaliation Logs
Audit Overall Determination: Meets Standard

Auditor Discussion

Based on this auditor's review of Standard Operating Procedure 14.02 Protective Custody Operations, Investigative reports, the PREA Questionnaire and interviews with the PREA Coordinator, PREA Compliance Manager and the Facility Investigators, it was determined that the SWRJ Abingdon Facility has only had one instance of utilizing segregated housing for an inmate that alleged to have been sexually documented verified that this was at the request of the inmate, for their own protection and did not exceed the 30 day timeframe. The inmate was carefully followed by the facility PREA team as well as the Mental Health Practitioners.

Documents, policies and other material reviewed:

- Standard Operating Procedure 14.02 Protective Custody Operations
- Standard Operating Procedure 2.01 PREA
- PREA Questionnaire
- Interviews with Staff
<table>
<thead>
<tr>
<th>115.71</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Auditor Overall Determination: Meets Standard</td>
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<td></td>
<td>Auditor Discussion</td>
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<tr>
<td></td>
<td>The Southwest Regional Jail Authority collaborates with the Virginia State Police pertaining to all investigations of Sexual abuse and sexual harassment. There is a signed Memorandum of Understanding that delineates responsibilities and duties. SWRJ Abingdon Facility conducts Administrative Investigations promptly, thoroughly and objectively by investigators that have received specialized training through the National Institute of Corrections.</td>
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<tr>
<td></td>
<td>There were a total of 38 reported allegations of sexual abuse or harassment during this audit cycle. This auditor found that all 38 reported allegations were properly investigated and that the investigators were in compliance with the requirements set forth in PREA Standard 115.21 and followed evidence collection protocols, Marranda and Garrity Warnings, and made determinations based on preponderance of the evidence presented.</td>
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<tr>
<td></td>
<td>All Substantiated investigations are referred to the Virginia State Police for further criminal investigation and prosecution.</td>
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<tr>
<td></td>
<td>The PREA Coordinator maintains all investigative files within the retention guidelines set forth in the National PREA Standards.</td>
</tr>
<tr>
<td></td>
<td>Documentation, policies and other materials reviewed:</td>
</tr>
<tr>
<td></td>
<td>• Standard Operating Procedure 2.01 PREA</td>
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<tr>
<td></td>
<td>• Memorandum of Understanding between the Southwest Regional Jail Authority and the Virginia State Police</td>
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<td></td>
<td>• Investigative Files</td>
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<td></td>
<td>• Interviews with Facility Investigators</td>
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<td></td>
<td>• Interview with PREA Coordinator</td>
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<td></td>
<td>• Review of Investigator Training Records and Certificates</td>
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<tr>
<td>115.72</td>
<td>Evidentiary standard for administrative investigations</td>
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<td>---------------------------------------------------------</td>
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<td></td>
<td>Auditor Overall Determination: Meets Standard</td>
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<td></td>
<td>Auditor Discussion</td>
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<tr>
<td></td>
<td>Based on this auditor’s review of Investigative files, Investigator training files and interviews with facility investigators, it was verified that Southwest Regional Jail Authority Investigators impose no standard higher than preponderance of the evidence when deciding a determination of allegations. All investigations reviewed were completed in a thorough and objective manner.</td>
</tr>
<tr>
<td></td>
<td><strong>Documents, policies and other materials reviewed:</strong></td>
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<tr>
<td></td>
<td>• Standard Operating Procedure 2.01 PREA</td>
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<tr>
<td></td>
<td>• Review of Investigative Files</td>
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<td></td>
<td>• Interviews conducted with Investigators</td>
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<td></td>
<td>• Investigator Training Files</td>
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</tbody>
</table>
**Reporting to inmates**

<table>
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<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
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</table>

**Auditor Discussion**

Based upon this auditor's review of Standard Operating Procedure 2.01 PREA, inmate notification forms, and Interviews with Investigators and the facility PREA Compliance Manager, it was determined that SWRJ Abingdon Facility informs inmates of the outcome of all investigative findings and whether the allegation was substantiated, unsubstantiated or unfounded. This auditor reviewed the investigative files for the 9 reported allegations of sexual abuse and found that all 9 alleged victims had been properly notified pertaining to the outcome of the investigation.

Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, SWRJ Abingdon Facility subsequently informs the inmate unless the facility has determined that the allegation is unfounded) whenever the staff member is no longer posted within the inmate’s unit; the staff member is no longer employed at the facility or SWRJ Abingdon Facility learns that the staff member has been indicted on a charge related to sexual abuse within the Facility; or the facility learns that the staff member has been convicted on a charge related to sexual abuse within the facility.

Following an inmate’s allegation that they have been sexually abused by another inmate at the SWRJ Abingdon Facility, the facility informs the alleged victim whenever the suspect has been indicted on a charge related to sexual abuse within the facility. All notifications are documented and maintained by the PREA Coordinator.

An agencies obligation to report under this standard is terminated once the inmate is released from the facility.

**Documentation polices and other materials reviewed:**

- Standard Operating Procedure 2.01 PREA
- Inmate Notification Forms
- Interview with PREA Coordinator
Disciplinary sanctions for staff

Auditor Overall Determination: Meets Standard

Auditor Discussion

This auditor’s review of Standard Operating Procedure 2.01 PREA and 3.01 Harassment verified that the SWRJ Abingdon Facility staff is subject to disciplinary sanctions up to and including termination for violating these policies. All violations that are substantiated are turned over to the Virginia State Police for criminal investigation and possible prosecution. If the victim is elderly, under the age of 18 or considered incapacitated, the facility will report to the Virginia Department of Social Services per the Code of Va. There were no substantiated investigations involving staff during the 12-month audit period.

Southwest Regional Jail Authorities policies comply with this standard.

Documentation, policies and other material reviewed:

- Standard Operating Procedure 2.01 PREA
- Standard Operating Procedure 3.01 Harassment
- Memorandum of Understanding with Virginia State Police
- Code of Va.
<table>
<thead>
<tr>
<th>115.77</th>
<th>Corrective action for contractors and volunteers</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Auditor Overall Determination: Meets Standard</td>
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<tr>
<td></td>
<td>Auditor Discussion</td>
</tr>
<tr>
<td></td>
<td>SWRJ Abingdon facility trains all volunteer/contractor staff on appropriate boundaries with inmates and conducts criminal background checks of the volunteer/contractor before any inmate contact is made. Standard Operating Procedure 3.01 Harassment verifies that sexual relations/abuse with inmates is prohibited and the volunteer/contractor will be turned over to the Virginia State Police for further criminal investigation and possible prosecution. There were no substantiated investigations of Volunteer/Contractor misconduct during the 12-month audit period.</td>
</tr>
<tr>
<td></td>
<td>Documentation, policies and other material reviewed:</td>
</tr>
<tr>
<td></td>
<td>- Standard Operating Procedure 3.01 Harassment</td>
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<td></td>
<td>- Standard Operating Procedure 2.01 PREA</td>
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<tr>
<td></td>
<td>- Volunteer/contractor Removal Forms</td>
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<td></td>
<td>- Staff Interviews</td>
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<td></td>
<td>- Volunteer/Contractor Training</td>
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</tbody>
</table>
### Auditor Overall Determination: Meets Standard

#### Auditor Discussion

Reviews of Standard Operating Procedure 13.01 Inmate Conduct/Discipline, Inmates are subject to administrative disciplinary sanctions and/or criminal prosecution depending on the status of the sexual activity. (If the sexual activity was consensual). Sanctions commensurate with the nature and seriousness of the offense committed. Random disciplinary charges were uploaded that verifies SWRJA Abingdon Facility is in compliance with this standard.

The administrative disciplinary process considers whether an inmate’s mental disabilities contributed to the nature of the offense and what, if any sanctions should be imposed.

SWRJ Abingdon Facility does not discipline inmates for making a report of sexual abuse/sexual harassment in good faith nor does the facility discipline inmates for sexual contact with staff unless the staff member did not consent to such act. Further review of investigative files revealed that there were no instances of staff and inmate sexual misconduct (either consensual or non-consensual) during the 12-month audit period.

**Documentation, policies and other materials reviewed:**

- Standard Operating Procedure 13.01 Inmate Conduct/Discipline
- Inmate Disciplinary Files
- PREA Questionnaire
- Investigative Files
### Medical and mental health screenings; history of sexual abuse

<table>
<thead>
<tr>
<th>Auditor Overall Determination:</th>
<th>Meets Standard</th>
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<tbody>
<tr>
<td><strong>Auditor Discussion</strong></td>
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<tr>
<td>All transferred in inmates receive a follow up Mental Health Evaluation within 14 days of their arrival at SWRJ Abingdon Facility. Subsequently, the follow up reassessment for an inmate’s risk of sexual victimization occurs during this session as well, succeeding the initial screening during the intake process. Mental Health Staff reevaluate the inmate’s risk of sexual victimization as well as any history of sexual abuse. If the Mental Health Clinician determines that an inmate is at a high risk of sexual victimization, predation or has experienced past sexual abuse, the Mental Health Clinician will notify the PREA Coordinator. The PREA Coordinator, in collaboration with the Mental Health Clinician and Facility Counselor will conduct another screening of the inmate utilizing the Facility's Classification Screening Tool in order to determine the appropriate housing for the offender as well further counseling services. If the inmate is within the criteria listed in the Code of Va. Mandatory Reporting Laws, the Mental Health Clinician will notify the proper authorities.</td>
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</tr>
<tr>
<td>Based on review of Standard Operating Procedure 2.01 PREA, Inmate informed consent forms, classification screenings and Interviews with the Mental Health Clinician, the Health Services Administrator and the PREA Coordinator, this auditor finds the SWRJ Abingdon Facility compliant with this standard.</td>
<td></td>
</tr>
<tr>
<td><strong>Documentation, policies and other material reviewed:</strong></td>
<td></td>
</tr>
<tr>
<td>• Standard Operating Procedure 2.01 PREA</td>
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</tr>
<tr>
<td>• SWRJA Classification Screening Tool</td>
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<tr>
<td>• Mental Health 14 day Assessment Forms</td>
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<tr>
<td>• Informed Consent Forms</td>
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<tr>
<td>• Mental Health’s Notifications to PREA Coordinator</td>
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<tr>
<td>• Interviews with Mental Health, Medical Staff</td>
<td></td>
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<tr>
<td>• Interview with PREA Coordinator</td>
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</tbody>
</table>
## Access to emergency medical and mental health services

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<tr>
<th>Auditor Overall Determination: Meets Standard</th>
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</table>

**Auditor Discussion**

Based on this auditor’s review of Standard Operating Procedure 18.06 Inmate Medical Care, Standard Operating Procedure MG-03 Sexual Assault and interviews with classification and screening staff as well as Mental Health and Medical staff, it is determined that the SWRJ Abingdon Facility offers unimpeded medical care to inmates who have suffered sexual abuse. The treatment is provided offsite at Carillion New River Valley Medical Center by a forensic medical exam nurse and appropriate emergency contraception and sexually transmitted prophylaxis is provided at no expense to the alleged victim. The Memorandum between Southwest Regional Jail Authority and the Bristol Crisis Center states that a victim advocate will be provided upon request. Mental Health services will be provided upon the inmate’s return to the facility.

SWRJ Abingdon Facility had no instances of sexual abuse that required immediate medical treatment in the 12 months of this audit period.

**Documentation, policies and other material reviewed:**

- Standard Operating Procedure 18.06 Inmate Medical Care
- Standard Operating Procedure MG-03 Sexual Assault
- Memorandum of Understanding with the Bristol Crisis Center
- Interviews with PREA Coordinator, Superintendent and Mental Health Staff
- PREA Questionnaire
<table>
<thead>
<tr>
<th>115.83</th>
<th>Ongoing medical and mental health care for sexual abuse victims and abusers</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
<td></td>
</tr>
<tr>
<td><strong>Auditor Discussion</strong></td>
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<tr>
<td>SWJR, Abingdon Facility offers medical and mental health evaluation and treatment to all inmates who have been victimized by sexual abuse while incarcerated at the facility. The evaluation and treatment of such victims include follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody.</td>
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<tr>
<td>The facility provides such victims with medical and mental health services consistent with community level of care without financial cost to the alleged victim. Female inmates who are the victim of sexual abuse while incarcerated receive pregnancy testing and access to pregnancy related medical treatment. The SWRJ Abingdon Facility contracts through the Bristol Crisis Center for ongoing crisis intervention therapy pertaining to sexual abuse or sexual harassment that takes place at the facility as stated in the Memorandum of Understanding.</td>
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<tr>
<td>Review of Standard Operating Procedure MG-03 Sexual Assault and interviews with the PREA Coordinator, Superintendent and the Health Services Authority verifies that SWRJ complies with this standard.</td>
<td></td>
</tr>
<tr>
<td><strong>Documentation, policies and other materials reviewed:</strong></td>
<td></td>
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<tr>
<td>- Standard Operating Procedure MG-03 Sexual Assault</td>
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<tr>
<td>- Interviews with Staff</td>
<td></td>
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<tr>
<td>- Memorandum of Understanding with the Bristol Crisis Center</td>
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<tr>
<td>115.86</td>
<td>Sexual abuse incident reviews</td>
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<tr>
<td></td>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
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<td></td>
<td><strong>Auditor Discussion</strong></td>
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</tbody>
</table>

The SWRJ Abingdon Facility conducts Incident Reviews at the conclusion of every substantiated or unsubstantiated sexual abuse investigation. The Review team is comprised of the PREA Coordinator, the facility PREA Compliance Manager, the Chief of Security, Captain, Health Authority and Mental Health Clinician. The review team considers all criteria mentioned in standard 115.86. During the onsite portion of the audit, this auditor reviewed all Incident Reviews pertaining to cases of alleged sexual abuse and found that reviews were conducted within a 30-day timeframe and are maintained by the PREA Coordinator.

**Documentation, policies and other material reviewed: **

- Standard Operating Procedure 2.01 PREA
- After Incident Reviews
- Interviews with PREA Coordinator, Captain, Superintendent, Health Authority
- PREA Questionnaire
<table>
<thead>
<tr>
<th>Data collection</th>
</tr>
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<tbody>
<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
</tr>
<tr>
<td><strong>Auditor Discussion</strong></td>
</tr>
<tr>
<td>The Southwest Regional Jail Authority collects accurate data for every allegation of sexual abuse at all 4 of the facilities under its control on an annual basis and posts this data on its Agency’s website. The incident date collected is based on the most recent version of the survey of Sexual Violence conducted by the Department of Justice.</td>
</tr>
<tr>
<td>The collected and aggregated data is turned into the Department of Justice upon request.</td>
</tr>
<tr>
<td>The Southwest Regional Jail Authority complies with this standard.</td>
</tr>
<tr>
<td><strong>Documentation, policies and other material reviewed:</strong></td>
</tr>
<tr>
<td>- Standard of Operating Procedure 2.01 PREA</td>
</tr>
<tr>
<td>- Examples of Survey</td>
</tr>
<tr>
<td>- Aggregated Annual Data</td>
</tr>
<tr>
<td>- PREA Questionnaire</td>
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</tbody>
</table>
## Data review for corrective action

<table>
<thead>
<tr>
<th>Auditor Overall Determination: Meets Standard</th>
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<tbody>
<tr>
<td>Auditor Discussion</td>
</tr>
<tr>
<td>Based on review of Standard Operating Procedure 2.01 PREA and the Agency Website <a href="http://www.swvrja.org/administration/prison-rape-elimination-act">http://www.swvrja.org/administration/prison-rape-elimination-act</a> as well as past Corrective Actions</td>
</tr>
<tr>
<td>It is determined that the Southwest Regional Jail Authority complies with this standard.</td>
</tr>
<tr>
<td>Documents, policies and other material reviewed:</td>
</tr>
<tr>
<td>- Standard Operating Procedure 2.01 PREA</td>
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<tr>
<td>- Agency Website</td>
</tr>
<tr>
<td>- Past Corrective Action Plans</td>
</tr>
<tr>
<td>- Annual Staffing Review</td>
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<td>115.89</td>
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<tr>
<td>Frequency and scope of audits</td>
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<td>--------------------------------</td>
</tr>
<tr>
<td><strong>Auditor Overall Determination:</strong> Meets Standard</td>
</tr>
<tr>
<td><strong>Auditor Discussion</strong></td>
</tr>
<tr>
<td>During the onsite audit phase, this auditor was allowed unimpeded access to the Southwest Regional Jail Abingdon Facility as well as any documentation that was requested and obtained to make a final determination.</td>
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<tr>
<td>115.403</td>
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<tr>
<td>115.11 (a)</td>
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</tr>
<tr>
<td>Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?</td>
</tr>
<tr>
<td>Does the written policy outline the agency’s approach to preventing, detecting, and responding to sexual abuse and sexual harassment?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.11 (b)</th>
<th>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the agency employed or designated an agency-wide PREA Coordinator?</td>
<td>yes</td>
</tr>
<tr>
<td>Is the PREA Coordinator position in the upper-level of the agency hierarchy?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.11 (c)</th>
<th>Zero tolerance of sexual abuse and sexual harassment; PREA coordinator</th>
</tr>
</thead>
<tbody>
<tr>
<td>If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the PREA compliance manager have sufficient time and authority to coordinate the facility’s efforts to comply with the PREA standards? (N/A if agency operates only one facility.)</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.12 (a)</th>
<th>Contracting with other entities for the confinement of inmates</th>
</tr>
</thead>
<tbody>
<tr>
<td>If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity’s obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.12 (b)</th>
<th>Contracting with other entities for the confinement of inmates</th>
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</thead>
<tbody>
<tr>
<td>Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)</td>
<td>yes</td>
</tr>
<tr>
<td>115.13 (a) Supervision and monitoring</td>
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<td>--------------------------------------</td>
<td></td>
</tr>
<tr>
<td>Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including &quot;blind-spots&quot; or areas where staff or inmates may be isolated)?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any applicable State or local laws, regulations, or standards?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.13 (b) Supervision and monitoring</th>
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<tbody>
<tr>
<td>In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)</td>
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<thead>
<tr>
<th>115.13 (c) Supervision and monitoring</th>
</tr>
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<tbody>
<tr>
<td>In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?</td>
</tr>
<tr>
<td>In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility’s deployment of video monitoring systems and other monitoring technologies?</td>
</tr>
<tr>
<td>In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?</td>
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</table>
### 115.13 (d) Supervision and monitoring

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
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</thead>
<tbody>
<tr>
<td>Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?</td>
<td>yes</td>
</tr>
<tr>
<td>Is this policy and practice implemented for night shifts as well as day shifts?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.14 (a) Youthful inmates

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
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<tbody>
<tr>
<td>Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
</tbody>
</table>

### 115.14 (b) Youthful inmates

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
<tr>
<td>In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
</tbody>
</table>

### 115.14 (c) Youthful inmates

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
<tr>
<td>Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
<tr>
<td>Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates &lt;18 years old).)</td>
<td>na</td>
</tr>
</tbody>
</table>

### 115.15 (a) Limits to cross-gender viewing and searches

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
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</thead>
<tbody>
<tr>
<td>Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?</td>
<td>yes</td>
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</tbody>
</table>

### 115.15 (b) Limits to cross-gender viewing and searches

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.)</td>
<td>yes</td>
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</tbody>
</table>

### 115.15 (c) Limits to cross-gender viewing and searches

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<thead>
<tr>
<th>Question</th>
<th>Yes/No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?</td>
<td>yes</td>
</tr>
<tr>
<td>115.15 (d)</td>
<td>Limits to cross-gender viewing and searches</td>
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<tr>
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</tr>
<tr>
<td>Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.15 (e)</th>
<th>Limits to cross-gender viewing and searches</th>
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<tbody>
<tr>
<td>Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate’s genital status?</td>
<td>yes</td>
</tr>
<tr>
<td>If an inmate’s genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.15 (f)</th>
<th>Limits to cross-gender viewing and searches</th>
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<tbody>
<tr>
<td>Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?</td>
<td>yes</td>
</tr>
<tr>
<td>115.16 (a)</td>
<td>Inmates with disabilities and inmates who are limited English proficient</td>
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<tr>
<td>Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?</td>
<td>yes</td>
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<tr>
<th>115.16 (b)</th>
<th>Inmates with disabilities and inmates who are limited English proficient</th>
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<tbody>
<tr>
<td>Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?</td>
<td>yes</td>
</tr>
<tr>
<td>Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?</td>
<td>yes</td>
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<td>Section</td>
<td>Title</td>
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<tr>
<td>115.16 (c)</td>
<td>Inmates with disabilities and inmates who are limited English proficient</td>
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<tr>
<td>115.17 (a)</td>
<td>Hiring and promotion decisions</td>
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<td>Hiring and promotion decisions</td>
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<td>115.17 (c)</td>
<td>Hiring and promotion decisions</td>
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<td>115.17 (d)</td>
<td>Hiring and promotion decisions</td>
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<td>115.17 (e)</td>
<td>Hiring and promotion decisions</td>
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<tr>
<td>115.17 (f)</td>
<td>Hiring and promotion decisions</td>
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<tr>
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</tr>
<tr>
<td>Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?</td>
<td>yes</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>115.17 (g)</th>
<th>Hiring and promotion decisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.17 (h)</th>
<th>Hiring and promotion decisions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.18 (a)</th>
<th>Upgrades to facilities and technologies</th>
</tr>
</thead>
<tbody>
<tr>
<td>If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)</td>
<td>na</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.18 (b)</th>
<th>Upgrades to facilities and technologies</th>
</tr>
</thead>
<tbody>
<tr>
<td>If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)</td>
<td>na</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>115.21 (a)</th>
<th>Evidence protocol and forensic medical examinations</th>
</tr>
</thead>
<tbody>
<tr>
<td>If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.21 (b)</th>
<th>Evidence protocol and forensic medical examinations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)</td>
<td>yes</td>
</tr>
<tr>
<td>Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, &quot;A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents,&quot; or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal or administrative sexual abuse investigations.)</td>
<td>yes</td>
</tr>
</tbody>
</table>
### 115.21 (c) Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?</td>
<td>yes</td>
</tr>
<tr>
<td>Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?</td>
<td>yes</td>
</tr>
<tr>
<td>If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?</td>
<td>yes</td>
</tr>
<tr>
<td>Has the agency documented its efforts to provide SAFEs or SANEs?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.21 (d) Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?</td>
<td>yes</td>
</tr>
<tr>
<td>If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)</td>
<td>na</td>
</tr>
<tr>
<td>Has the agency documented its efforts to secure services from rape crisis centers?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.21 (e) Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?</td>
<td>yes</td>
</tr>
<tr>
<td>As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.21 (f) Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.21 (h) Evidence protocol and forensic medical examinations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)</td>
<td>na</td>
</tr>
</tbody>
</table>

### 115.22 (a) Policies to ensure referrals of allegations for investigations

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?</td>
<td>yes</td>
</tr>
<tr>
<td>115.22 (b) Policies to ensure referrals of allegations for investigations</td>
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<tr>
<td>Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?</td>
<td>yes</td>
</tr>
<tr>
<td>Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency document all such referrals?</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.22 (c) Policies to ensure referrals of allegations for investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>115.31 (a) Employee training</th>
</tr>
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<tbody>
<tr>
<td>Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on inmates’ right to be free from sexual abuse and sexual harassment</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?</td>
</tr>
<tr>
<td>Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?</td>
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<thead>
<tr>
<th>115.31 (b) Employee training</th>
</tr>
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<tbody>
<tr>
<td>Is such training tailored to the gender of the inmates at the employee’s facility?</td>
</tr>
<tr>
<td>Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?</td>
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<td>115.31 (c)</td>
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### 115.35 (a) Specialized training: Medical and mental health care

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<tr>
<th>Question</th>
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<tbody>
<tr>
<td>Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)</td>
<td>yes</td>
</tr>
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</table>

### 115.35 (b) Specialized training: Medical and mental health care

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<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)</td>
<td>NA</td>
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### 115.35 (c) Specialized training: Medical and mental health care

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<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)</td>
<td>yes</td>
</tr>
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</table>

### 115.35 (d) Specialized training: Medical and mental health care

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<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)</td>
<td>yes</td>
</tr>
<tr>
<td>Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (a) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?</td>
<td>yes</td>
</tr>
<tr>
<td>Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (b) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do intake screenings ordinarily take place within 72 hours of arrival at the facility?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (c) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all PREA screening assessments conducted using an objective screening instrument?</td>
<td>yes</td>
</tr>
</tbody>
</table>
### 115.41 (d) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Considered?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener’s perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (e) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Considered?</th>
</tr>
</thead>
<tbody>
<tr>
<td>In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?</td>
<td>yes</td>
</tr>
<tr>
<td>In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (f) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Considered?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Within a set time period not more than 30 days from the inmate’s arrival at the facility, does the facility reassess the inmate’s risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?</td>
<td>yes</td>
</tr>
</tbody>
</table>
### 115.41 (g) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility reassess an inmate's risk level when warranted due to a referral?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility reassess an inmate's risk level when warranted due to a request?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (h) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.41 (i) Screening for risk of victimization and abusiveness

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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</thead>
<tbody>
<tr>
<td>Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates?</td>
<td>yes</td>
</tr>
</tbody>
</table>

### 115.42 (a) Use of screening information

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?</td>
<td>yes</td>
</tr>
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</table>

### 115.42 (b) Use of screening information

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>Does the agency make individualized determinations about how to ensure the safety of each inmate?</td>
<td>yes</td>
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</table>

### 115.42 (c) Use of screening information

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
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<tbody>
<tr>
<td>When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?</td>
<td>yes</td>
</tr>
<tr>
<td>When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems?</td>
<td>yes</td>
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<td>Section</td>
<td>Description</td>
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<td>-------------</td>
<td>------------------------------------------------------------------------------</td>
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<tr>
<td>115.42 (d)</td>
<td>Use of screening information Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?</td>
</tr>
<tr>
<td>115.42 (e)</td>
<td>Use of screening information Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?</td>
</tr>
<tr>
<td>115.42 (f)</td>
<td>Use of screening information Are transgender and intersex inmates given the opportunity to shower separately from other inmates?</td>
</tr>
<tr>
<td>115.42 (g)</td>
<td>Use of screening information Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)</td>
</tr>
<tr>
<td>115.43 (a)</td>
<td>Protective Custody Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?</td>
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<td></td>
<td>If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?</td>
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<td>Heading</td>
<td>Subheading</td>
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<td><strong>115.43 (b)</strong></td>
<td>Protective Custody</td>
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<tr>
<td><strong>115.43 (c)</strong></td>
<td>Protective Custody</td>
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<tr>
<td><strong>115.43 (d)</strong></td>
<td>Protective Custody</td>
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<tr>
<td><strong>115.43 (e)</strong></td>
<td>Protective Custody</td>
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<tr>
<td><strong>115.51 (a)</strong></td>
<td>Inmate reporting</td>
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<tr>
<td>115.51 (b)</td>
<td>Inmate reporting</td>
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<tr>
<td>Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?</td>
<td>yes</td>
</tr>
<tr>
<td>Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?</td>
<td>yes</td>
</tr>
<tr>
<td>Does that private entity or office allow the inmate to remain anonymous upon request?</td>
<td>yes</td>
</tr>
<tr>
<td>Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)</td>
<td>yes</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>115.51 (c)</th>
<th>Inmate reporting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?</td>
<td>yes</td>
</tr>
<tr>
<td>Does staff promptly document any verbal reports of sexual abuse and sexual harassment?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.51 (d)</th>
<th>Inmate reporting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?</td>
<td>yes</td>
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<table>
<thead>
<tr>
<th>115.52 (a)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.52 (b)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.52 (c)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard,)</td>
<td>na</td>
</tr>
<tr>
<td>Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard,)</td>
<td>na</td>
</tr>
<tr>
<td>115.52 (d)</td>
<td>Exhaustion of administrative remedies</td>
</tr>
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</tr>
<tr>
<td>Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
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<table>
<thead>
<tr>
<th>115.52 (e)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate’s decision? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.52 (f)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).</td>
<td>na</td>
</tr>
<tr>
<td>After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>Does the initial response and final agency decision document the agency’s determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>Does the initial response document the agency’s action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>Does the agency’s final decision document the agency’s action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
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<thead>
<tr>
<th>115.52 (g)</th>
<th>Exhaustion of administrative remedies</th>
</tr>
</thead>
<tbody>
<tr>
<td>If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)</td>
<td>na</td>
</tr>
<tr>
<td>115.53 (a)</td>
<td>Inmate access to outside confidential support services</td>
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<td>---</td>
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</tr>
<tr>
<td>Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)</td>
<td>yes</td>
</tr>
<tr>
<td>Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.53 (b)</th>
<th>Inmate access to outside confidential support services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.53 (c)</th>
<th>Inmate access to outside confidential support services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.54 (a)</th>
<th>Third-party reporting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?</td>
<td>yes</td>
</tr>
<tr>
<td>Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?</td>
<td>yes</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>115.61 (a)</th>
<th>Staff and agency reporting duties</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?</td>
<td>yes</td>
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<table>
<thead>
<tr>
<th>115.61 (b)</th>
<th>Staff and agency reporting duties</th>
</tr>
</thead>
<tbody>
<tr>
<td>Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?</td>
<td>yes</td>
</tr>
<tr>
<td>115.61 (c)</td>
<td>Staff and agency reporting duties</td>
</tr>
<tr>
<td>115.61 (d)</td>
<td>Staff and agency reporting duties</td>
</tr>
<tr>
<td>115.61 (e)</td>
<td>Staff and agency reporting duties</td>
</tr>
<tr>
<td>115.62 (a)</td>
<td>Agency protection duties</td>
</tr>
<tr>
<td>115.63 (a)</td>
<td>Reporting to other confinement facilities</td>
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<tr>
<td>115.63 (b)</td>
<td>Reporting to other confinement facilities</td>
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<tr>
<td>115.63 (c)</td>
<td>Reporting to other confinement facilities</td>
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<tr>
<td>115.63 (d)</td>
<td>Reporting to other confinement facilities</td>
</tr>
<tr>
<td>115.64 (a)</td>
<td>Staff first responder duties</td>
</tr>
<tr>
<td>115.64 (b)</td>
<td>Staff first responder duties</td>
</tr>
<tr>
<td>115.64 (c)</td>
<td>Staff first responder duties</td>
</tr>
<tr>
<td>115.64 (d)</td>
<td>Staff first responder duties</td>
</tr>
<tr>
<td>Section</td>
<td>Scenario</td>
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<tr>
<td>---------</td>
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</tr>
<tr>
<td>115.64 (b)</td>
<td>Staff first responder duties</td>
</tr>
<tr>
<td></td>
<td>If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?</td>
</tr>
<tr>
<td>115.65 (a)</td>
<td>Coordinated response</td>
</tr>
<tr>
<td></td>
<td>Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?</td>
</tr>
<tr>
<td>115.66 (a)</td>
<td>Preservation of ability to protect inmates from contact with abusers</td>
</tr>
<tr>
<td></td>
<td>Are both the agency and any other governmental entities responsible for collective bargaining on the agency’s behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency’s ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?</td>
</tr>
<tr>
<td>115.67 (a)</td>
<td>Agency protection against retaliation</td>
</tr>
<tr>
<td></td>
<td>Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?</td>
</tr>
<tr>
<td></td>
<td>Has the agency designated which staff members or departments are charged with monitoring retaliation?</td>
</tr>
<tr>
<td>115.67 (b)</td>
<td>Agency protection against retaliation</td>
</tr>
<tr>
<td></td>
<td>Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?</td>
</tr>
<tr>
<td>Rule</td>
<td>Agency protection against retaliation</td>
</tr>
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</tbody>
</table>
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?  
|   | yes  |
| 115.67 (c) | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?  
|   | yes  |
| 115.67 (c) | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?  
|   | yes  |
| 115.67 (d) | In the case of inmates, does such monitoring also include periodic status checks?  
|   | yes  |
| 115.67 (e) | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?  
|   | yes  |
| 115.68 (a) | Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?  
|   | yes  |
| 115.71 (a) | When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)  
|   | yes  |
| 115.71 (a) | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)  
<p>|   | yes  |</p>
<table>
<thead>
<tr>
<th>115.71 (b)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?</td>
<td>yes</td>
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</tbody>
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<table>
<thead>
<tr>
<th>115.71 (c)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?</td>
<td>yes</td>
</tr>
<tr>
<td>Do investigators interview alleged victims, suspected perpetrators, and witnesses?</td>
<td>yes</td>
</tr>
<tr>
<td>Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.71 (d)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (e)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (f)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?</td>
<td>yes</td>
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<table>
<thead>
<tr>
<th>115.71 (g)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (h)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (i)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (j)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?</td>
<td>yes</td>
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<thead>
<tr>
<th>115.71 (l)</th>
<th>Criminal and administrative agency investigations</th>
</tr>
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<tbody>
<tr>
<td>When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)</td>
<td>yes</td>
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<tr>
<td>Section</td>
<td>Description</td>
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<td>---------</td>
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</tr>
<tr>
<td>115.72 (a)</td>
<td>Evidentiary standard for administrative investigations</td>
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<tr>
<td>115.73 (a)</td>
<td>Reporting to inmates</td>
</tr>
<tr>
<td>115.73 (b)</td>
<td>Reporting to inmates</td>
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<tr>
<td>115.73 (c)</td>
<td>Reporting to inmates</td>
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<tr>
<td>115.73 (d)</td>
<td>Reporting to inmates</td>
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<tr>
<td>115.73 (e)</td>
<td>Reporting to inmates</td>
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<td>115.76 (a)</td>
<td>Disciplinary sanctions for staff</td>
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<tr>
<td>115.76 (b)</td>
<td>Disciplinary sanctions for staff</td>
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<tr>
<td>Section</td>
<td>Description</td>
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<tr>
<td>115.76 (c)</td>
<td>Disciplinary sanctions for staff</td>
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<tr>
<td>115.76 (d)</td>
<td>Disciplinary sanctions for staff</td>
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<td>115.77 (a)</td>
<td>Corrective action for contractors and volunteers</td>
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<tr>
<td>115.77 (b)</td>
<td>Corrective action for contractors and volunteers</td>
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<td>115.78 (a)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.78 (b)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.78 (c)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.78 (d)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.78 (e)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>Section</td>
<td>Description</td>
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</tr>
<tr>
<td>115.78 (f)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.78 (g)</td>
<td>Disciplinary sanctions for inmates</td>
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<tr>
<td>115.81 (a)</td>
<td>Medical and mental health screenings; history of sexual abuse</td>
</tr>
<tr>
<td>115.81 (b)</td>
<td>Medical and mental health screenings; history of sexual abuse</td>
</tr>
<tr>
<td>115.81 (c)</td>
<td>Medical and mental health screenings; history of sexual abuse</td>
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<tr>
<td>115.81 (d)</td>
<td>Medical and mental health screenings; history of sexual abuse</td>
</tr>
<tr>
<td>115.81 (e)</td>
<td>Medical and mental health screenings; history of sexual abuse</td>
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<td>115.82 (a)</td>
<td>Access to emergency medical and mental health services</td>
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<tr>
<td>115.82 (b)</td>
<td>Access to emergency medical and mental health services</td>
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<td>Code</td>
<td>Section</td>
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<tr>
<td>115.82 (c)</td>
<td>Access to emergency medical and mental health services</td>
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<tr>
<td>115.82 (d)</td>
<td>Access to emergency medical and mental health services</td>
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<tr>
<td>115.83 (a)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
</tr>
<tr>
<td>115.83 (b)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (c)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (d)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (e)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (f)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (g)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.83 (h)</td>
<td>Ongoing medical and mental health care for sexual abuse victims and abusers</td>
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<tr>
<td>115.86 (a)</td>
<td>Sexual abuse incident reviews</td>
</tr>
<tr>
<td>115.86 (b)</td>
<td>Sexual abuse incident reviews</td>
</tr>
<tr>
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<tr>
<td>Does such review ordinarily occur within 30 days of the conclusion of the investigation?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.86 (c)</th>
<th>Sexual abuse incident reviews</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.86 (d)</th>
<th>Sexual abuse incident reviews</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the review team: Assess the adequacy of staffing levels in that area during different shifts?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?</td>
<td>yes</td>
</tr>
<tr>
<td>Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.86 (e)</th>
<th>Sexual abuse incident reviews</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the facility implement the recommendations for improvement, or document its reasons for not doing so?</td>
<td>yes</td>
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</tbody>
</table>

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<thead>
<tr>
<th>115.87 (a)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.87 (b)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency aggregate the incident-based sexual abuse data at least annually?</td>
<td>yes</td>
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</table>

<table>
<thead>
<tr>
<th>115.87 (c)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?</td>
<td>yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>115.87 (d)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?</td>
<td>yes</td>
</tr>
</tbody>
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<table>
<thead>
<tr>
<th>115.87 (e)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)</td>
<td>na</td>
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</tbody>
</table>

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<thead>
<tr>
<th>115.87 (f)</th>
<th>Data collection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)</td>
<td>na</td>
</tr>
<tr>
<td>115.88 (a)</td>
<td>Data review for corrective action</td>
</tr>
<tr>
<td>115.88 (a)</td>
<td>Data review for corrective action</td>
</tr>
<tr>
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<tr>
<td>115.88 (b)</td>
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<tr>
<td>115.88 (c)</td>
<td>Data review for corrective action</td>
</tr>
<tr>
<td>115.88 (d)</td>
<td>Data review for corrective action</td>
</tr>
<tr>
<td>115.89 (a)</td>
<td>Data storage, publication, and destruction</td>
</tr>
<tr>
<td>115.89 (b)</td>
<td>Data storage, publication, and destruction</td>
</tr>
<tr>
<td>115.89 (c)</td>
<td>Data storage, publication, and destruction</td>
</tr>
<tr>
<td>115.89 (d)</td>
<td>Data storage, publication, and destruction</td>
</tr>
<tr>
<td>115.401 (a)</td>
<td>Frequency and scope of audits</td>
</tr>
<tr>
<td>Section</td>
<td>Frequency and scope of audits</td>
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<tr>
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</tr>
<tr>
<td>115.401 (b)</td>
<td>Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.)</td>
</tr>
<tr>
<td></td>
<td>If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)</td>
</tr>
<tr>
<td></td>
<td>If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)</td>
</tr>
<tr>
<td>115.401 (h)</td>
<td>Frequency and scope of audits</td>
</tr>
<tr>
<td></td>
<td>Did the auditor have access to, and the ability to observe, all areas of the audited facility?</td>
</tr>
<tr>
<td>115.401 (i)</td>
<td>Frequency and scope of audits</td>
</tr>
<tr>
<td></td>
<td>Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?</td>
</tr>
<tr>
<td>115.401 (m)</td>
<td>Frequency and scope of audits</td>
</tr>
<tr>
<td></td>
<td>Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?</td>
</tr>
<tr>
<td>115.401 (n)</td>
<td>Frequency and scope of audits</td>
</tr>
<tr>
<td></td>
<td>Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?</td>
</tr>
<tr>
<td>115.403 (f)</td>
<td>Audit contents and findings</td>
</tr>
<tr>
<td></td>
<td>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</td>
</tr>
</tbody>
</table>